



SECURITY OVERVIEW (VERSION 1.16)

ARCHIVES MANAGER, SYSTEMS MANAGER AND
ADMINISTRATOR
ARCHAEOLOGY DATA SERVICE
<https://archaeologydataservice.ac.uk/>

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Authors:	Tony Austin, Tim Evans, Ray Moore, Paul Young and Donna Page
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1. Purpose of this document

1.0.1 This document provides signposting to the security policies provided by the University of York, alongside the specific policies and procedures to which the ADS adheres, and viewed in conjunction with specific policies outlined in:

- *Information Security Risk Assessment*¹
- *Systems Overview*²
- *Repository Operations*³
- *Preservation Policy*⁴
- *Privacy Policy*⁵
- *Website Terms and Conditions*⁶
- *Cookies Statement*⁷
- *Accessibility Statement*⁸

2. University of York Physical Security

2.0.1 University of York provides a dedicated Security Service who are responsible for ensuring the physical safety of staff, students and visitors to the University.⁹ They provide a wide range of services to the whole University, including the King's Manor where the ADS offices are located.¹⁰ These include:

- Security
- Technical Services – CCTV and intruder alarms
- Access Control
- Building Management

¹ *Information Security Risk Assessment* -

<https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#ITRisk>, accessed 09 August 2021.

² *Systems Overview* - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#Systems>, accessed 09 August 2021.

³ *Repository Operations Manual* -

<https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#RepOp>, accessed 09 August 2021.

⁴ *Preservation Policy* - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#PresPol>, accessed 09 August 2021.

⁵ *Privacy Policy* - <https://archaeologydataservice.ac.uk/advice/Privacy.xhtml>, accessed 09 August 2021.

⁶ *Website Terms and Conditions* - <https://archaeologydataservice.ac.uk/advice/WebsiteTerms.xhtml>, accessed 09 August 2021.

⁷ *Cookies Statement* - <https://archaeologydataservice.ac.uk/about/Cookies.xhtml>, accessed 09 August 2021.

⁸ *Accessibility Statement* - <https://archaeologydataservice.ac.uk/advice/accessibility.xhtml>, accessed 09 August 2021.

⁹ *Security* (University of York) - <https://www.york.ac.uk/admin/security/about-us/>, accessed 09 August 2021.

¹⁰ *The King's Manor* - <https://www.york.ac.uk/about/campus/landmarks/kings-manor/>, accessed 09 August 2021.

2.0.2 The University of York Security Services provide 24-hour cover, 365 days a year, which is coordinated through the Security Centre¹¹ on the main Campus West, and based within the 'Porter's Lodge' at the King's Manor. Security provide a first response service for staff and students alike. Contact details for Security are:

In emergencies contact:¹²

Call **+44(0)1904 32 3333**, or
Freephone **0800 43 3333**, or
For internal/campus phones **3333**, or
Via the 'Safe Zone' app - <https://www.safezoneapp.com/>¹³

For non-emergencies contact:

Call **+44(0)1904 32 4444**, or
For internal/campus phones **4444**, or
Email security-control-room@york.ac.uk, or
Via the 'Safe Zone' app - <https://www.safezoneapp.com/>

2.0.4 The *Surveillance Policy*¹⁴ provides detailed information on the monitoring of University property and offices.

3. University of York IT Security

3.0.1 As the University of York hosts the ADS, the ADS adheres to the systems and protocols provided by its IT Services department.¹⁵ IT Services provide support and services according to the *Regulation 11: Use of Computing Facilities*¹⁶, *Managing User Access*

¹¹ A map showing the location of the Security Centre is available - <https://www.york.ac.uk/map/#locid422>, accessed 09 August 2021.

¹² Current contact details are available from the Security website - <https://www.york.ac.uk/about/departments/support-and-admin/sas/slaw/security/>, accessed 09 August 2021.

¹³ 'Safe Zone' - <https://www.york.ac.uk/about/departments/support-and-admin/sas/slaw/security/safezone/>, accessed 09 August 2021.

¹⁴ *Surveillance Policy* - <https://www.york.ac.uk/media/abouttheuniversity/supportservices/academicregistry/studentandacademicroservices/Surveillance%20Policy.pdf>, accessed 09 August 2021.

¹⁵ Discussed specifically in *Section 7: ADS Systems Security* below.

¹⁶ *Regulation 11: Use of Computing Facilities* - <https://www.york.ac.uk/about/organisation/governance/governance-documents/ordinances-and-regulations/regulation-11/>, accessed 09 August 2021.

*Policy*¹⁷, and monitored in accordance with the *Information Services Service Standards*.¹⁸ Services are coordinated through the IT Services building on the main Campus West.¹⁹

3.0.2 Contact details for IT Services are:

In an emergency contact, York CERT (Computer Emergency Response Team):²⁰

Call **+44 (0)1904 323738**, or
Email cert@york.ac.uk¹⁵

For non-emergencies contact, IT Support:²¹

Visit Library and IT Help Desk in the JB Morrell Library²²
Call **+44 (0)1904 32 3838**, or
Email itsupport@york.ac.uk, or
Use the '[Knowledge Base](#)' resource²³

3.0.3 IT Services also provide current information on recognised issues and problems and scheduled works through:

IT Services Status Board²⁴
IT Services scheduled work & events²⁵
Twitter²⁶
Facebook²⁷

¹⁷ *Managing User Access Policy* -

<https://www.york.ac.uk/about/departments/support-and-admin/information-services/information-policy/index/managing-user-access-policy/>, accessed 09 August 2021.

¹⁸ *Information Services Service Standards* -

<https://www.york.ac.uk/about/departments/support-and-admin/information-services/performance/standards/#tab-2>, accessed 09 August 2021.

¹⁹ IT Services Building - <https://www.york.ac.uk/map/#locid354>, with a 'physical' Library and IT Help Desk in the JB Morrell Library, see <https://www.york.ac.uk/map/#library-and-it-help-desk>, accessed 09 August 2021.

²⁰ CERT website - <https://www.york.ac.uk/it-services/security/contact/>, see also for the latest contact details of ' ', accessed 09 August 2021.

²¹ IT Support - <https://www.york.ac.uk/it-services/help/it-support/>, see this page for the latest contact information, this page also details all 'opening times' for these support schemes, accessed 09 August 2021.

²² A map showing the location of the Library and IT Help Desk is available -

<https://www.york.ac.uk/map/#library-and-it-help-desk>. Staffing times for the helpdesk may vary, for the latest information on staffing see <https://www.york.ac.uk/it-services/help/it-support/>, accessed 09 August 2021.

²³ For a more detailed discussion of the 'Knowledge Base' service, see

<https://www.york.ac.uk/it-services/services/kb/>, accessed 09 August 2021.

²⁴ Services Status Board - <https://status.york.ac.uk/>, accessed 09 August 2021.

²⁵ Scheduled work & events - <https://www.york.ac.uk/it-services/news/work/>, accessed 09 August 2021.

²⁶ @UoYITServices - <https://twitter.com/UoYITServices>, accessed 09 August 2021.

²⁷ University of York I.T. Services (@UoYITServices) <https://www.facebook.com/UoYITServices>, accessed 09 August 2021.

3.1 System Access

3.1.1 As part of the University of York the ADS also adheres to the security policies and guidance outlined by its parent organisation. Security is of primary importance at multiple levels in accordance with the *Managing User Access Policy*.¹⁶ Details of the University of York *IT Security* policies and procedure, alongside clear guidance and support for staff to ensure the proper maintenance and security of all systems and services are available through the IT Security web pages.²⁸

3.1.1 Centrally managed (supported) machines

3.1.1.1 System Center Endpoint Protection (SCEP) is installed and maintained on all IT Services managed office and classroom PCs. All PCs have Windows 10 installed and are regularly updated with the latest updates and patches. Managed PCs have Windows Defender (pre-installed). All managed PCs have their firewall settings configured by the University of York IT Services.

- Security overview²⁸
- Accounts²⁹
- Passwords³⁰
- Two-factor authentication³¹
- Virus & malware protection³², content filtering software³³ and managing plugins³⁴
- Network settings & firewalls³⁵
- Email security³⁶

3.1.1.2 At a minimum, access to the University network and resources is password controlled using the *York Identity Manager system*.³⁷ In some circumstances, access to University resources may also be controlled using IP address. The University of York maintain a dedicated *Password Policy*³⁸ and provide guidance on the creation and use of strong passwords,³⁹ alongside the use of dedicated password managers.⁴⁰

²⁸ *IT Security* - <https://www.york.ac.uk/it-services/security/>, accessed 09 August 2021.

²⁹ *IT Services accounts* - <https://www.york.ac.uk/it-services/accounts/>, accessed 09 August 2021.

³⁰ *Passwords* - <https://www.york.ac.uk/it-services/security/password/>, accessed 09 August 2021.

³¹ *Two-factor authentication* - <https://www.york.ac.uk/it-services/security/two-factor-authentication/>, accessed 09 August 2021.

³² *Virus & malware protection* - <https://www.york.ac.uk/it-services/security/virus/>, accessed 09 August 2021.

³³ *Content filtering software* - <https://www.york.ac.uk/it-services/security/virus/#tab-4>, accessed 09 August 2021.

³⁴ *Plugins* - <https://www.york.ac.uk/it-services/security/virus/#tab-2>, accessed 09 August 2021.

³⁵ *Network settings & firewalls* - <https://www.york.ac.uk/it-services/security/network/>, accessed 09 August 2021.

³⁶ *Spam, phishing and other scam email* - <https://www.york.ac.uk/it-services/security/spam/>, accessed 09 August 2021.

³⁷ *York Identity Manager system* - <https://idm.york.ac.uk/idm/user/login.jsp>, restricted access.

³⁸ *Password Policy* - <https://www.york.ac.uk/it-services/security/password/policychanges/>, accessed 09 August 2021.

³⁹ *Guidance on strong passwords* is available - <https://www.york.ac.uk/it-services/security/password/strong/>, accessed 09 August 2021.

⁴⁰ *Appropriate information on password management and managers* - <https://www.york.ac.uk/it-services/security/password/managing/>, accessed 09 August 2021.

3.1.2 Unsupported PCs and personal devices

3.1.2.1 The University of York provides clear guidance on the steps undertaken to maintain good security standards on unsupported computers and other digital devices.⁴¹ All users should make sure they have the same sort of protocols in place as those described for supported machines. Clear guidance on keeping your computer up-to-date⁴², alongside the provision of information on anti-virus software (Windows Defender) and firewalls.⁴³

3.1.2.2 The use of two-factor authentication³⁴ and passwords³³ for connections to the University network from unsupported or personal devices ensures the maintenance of access security.

3.1.2.3 The provision of 'remote security' guidance, for unsupported or personal devices, ensures the maintenance of security should devices be lost or stolen.⁴⁴

3.1.3 Restricting physical access

3.1.3.1 The University of York also provides information on restricting physical access to supported and unsupported machines alongside personal devices connected to the network.⁴⁵

3.1.4 Storage media and memory sticks

3.1.4.1 The use of external media (i.e. portable drives) can be useful for storage and the transfer of data between systems; however, the University of York actively discourages their use for confidential or sensitive information.⁴⁶ *Section 3.3 Data sharing*, provides detailed information on sharing data, alongside the use of cloud storage and sharing services.

3.1.5 Protecting confidential data

3.1.5.1 The University provides guidance on the appropriate sharing and storage of confidential or sensitive information or datasets⁴⁷, and providing support for the encryption of devices⁴⁸, media and files.⁴⁹

⁴¹ Unsupported computers in offices - <https://www.york.ac.uk/it-services/dco/tools/unsupported/>, accessed 09 August 2021.

⁴² *Keeping your computer up to date* - <https://www.york.ac.uk/it-services/security/update/>, accessed 09 August 2021.

⁴³ *Virus & malware protection* - <https://www.york.ac.uk/it-services/security/virus/>, accessed 09 August 2021.

⁴⁴ *Remote security* - <https://www.york.ac.uk/it-services/security/remote-security/>, accessed 09 August 2021.

⁴⁵ Using *screen lock* when machines are left unattended - <https://www.york.ac.uk/it-services/security/screen-lock/>, see also discussion on file security <https://www.york.ac.uk/it-services/security/files/>, accessed 09 August 2021.

⁴⁶ *Memory sticks* - <https://www.york.ac.uk/it-services/filestore/>, alongside information on the encryption of USB sticks - <https://www.york.ac.uk/it-services/security/encryption/#tab-2>, accessed 09 August 2021.

⁴⁷ *Protecting confidential data* - <https://www.york.ac.uk/it-services/security/encryption/>, accessed 09 August 2021.

⁴⁸ *Encrypting your device* - <https://www.york.ac.uk/it-services/security/encryption/#tab-1>, accessed 09 August 2021.

⁴⁹ *Securely sharing confidential data* - <https://www.york.ac.uk/it-services/security/encryption/#tab-2>, accessed 09 August 2021.

3.2 Data Storage

3.2.1 The University of York staff provides access to a number of services that facilitate the storage, access, and backing-up of data. These include:

- Personal filestore⁵⁰: A storage service that is regularly backed up. This service is part of the University's Disaster Recovery process for the central IT systems, allowing the restoration of data in the event of a catastrophic failure.⁵¹
- Personal Google Drive: this gives you the ability to store files and access them from a wide variety of devices. It is also possible to share files, and folders of files, with others at the University.⁵² There may be limitations on the use of Google Drive, see the usage guidance.⁵³
- Shared Drives.⁵⁴ There may also be limitations on the use of Shared Drives, see the usage guidance.⁵⁴
- Shared Filestore: For use of groups or projects where centralised access to information is necessary.⁵⁵

3.3 Data sharing

3.3.1 The University of York provides a number of data sharing services allowing the transmission of data between machines, systems and networks. These include:

- Google Drive⁵⁶
- University of York DropOff Service⁵⁷
- File transfer (SFTP and SCP)⁵⁸

4. Fire Safety Procedure

4.0.1 The University of York is committed to providing a safe environment for its staff, students and visitors. Part of this safety responsibility is in its provision and management of fire safety arrangements, details outlined in the *University Policy and Management Procedure: Fires Safety Arrangements*.⁵⁹ All staff must complete annual statutory basic fire safety training,⁶⁰ with local evacuation drills carried out regularly.⁶¹ To facilitate the

⁵⁰ Filestore - <https://www.york.ac.uk/it-services/filestore/>, accessed 09 August 2021.

⁵¹ Backups - <https://www.york.ac.uk/it-services/services/backups/>, accessed 09 August 2021.

⁵² Google Drive - <https://www.york.ac.uk/it-services/services/drive/>, accessed 09 August 2021.

⁵³ <https://www.york.ac.uk/it-services/google/policy/support/>, accessed 09 August 2021.

⁵⁴ Shared Drives - <https://www.york.ac.uk/it-services/services/drive/shared-drives/>, accessed 09 August 2021.

⁵⁵ Shared Filestore - <https://www.york.ac.uk/it-services/filestore/rented/>, accessed 09 August 2021.

⁵⁶ Google Drive - <https://www.york.ac.uk/it-services/services/drive/>, accessed 09 August 2021.

⁵⁷ DropOff Service - <https://www.york.ac.uk/it-services/services/dropoff/>, accessed 09 August 2021.

⁵⁸ File transfer - <https://www.york.ac.uk/it-services/services/file-transfer/>, accessed 09 August 2021.

⁵⁹ *University Policy and Management Procedure: Fires Safety Arrangements* - https://www.york.ac.uk/admin/hsas/safetynet/Fire/fire_safety.htm, internal access only.

⁶⁰ Fire safety training - https://www.york.ac.uk/admin/hsas/hstraining/hstraining_home.htm, internal access only.

⁶¹ Fire management procedure (Evacuation Drills) - [https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20\(Evacuation%20Drills\)%20\(VS1.2%20-%20Mar%2017\).pdf](https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20(Evacuation%20Drills)%20(VS1.2%20-%20Mar%2017).pdf), internal access only.

evacuation plan each department/area has dedicated fire wardens and sweepers to 'clear areas' and assist with fire evacuation.⁶² University properties are equipped with appropriate fire doors, alarms and signage that assist and protect staff and visitors in the event of fire and during evacuation.⁵⁹ The provision of dedicated personal emergency evacuation plans assist staff or visitors who cannot self-evacuate or require assistance to evacuate from University properties.⁶³

5. Lone Working

5.0.1 The ADS operates during set 'core' hours (10:00 - 16:00), although staff generally work throughout the King's Manor opening hours (7:00 - 19:00). In situations where a member of staff has neither visual or audible communication with someone else who can summon assistance in the event of an accident, illness or other emergency, for example, when the individual works outside of office hours, the ADS follows the guidance outlined by the University of York. The ADS recognises the importance of ensuring that all lone working activities are managed appropriately in order to mitigate any associated risk.⁶⁴

6. ADS Offices Security

6.0.1 As part of the Department of Archaeology, the ADS offices are part of the King's Manor, York.¹⁰ As an important historic building, parts of The King's Manor are open to the public during working hours and at weekends, with access to the ADS offices restricted by key, key card and keypad security systems. The University of York Security Services maintain an on-site security team who oversee access and monitor the King's Manor through a dedicated CCTV system between the hours of 7am to 7pm. Outside of these office hours, access to the King's Manor is controlled by key, key card and keypad security systems, with intruder alarms, CCTV systems and routine security sweeps by Security Services.

6.0.2 The ADS adheres to the University of York general policies concerning members of staff who leave the organisation. The ADS Administrator maintains an overview of physical security for the ADS offices.

7. ADS Systems Security

7.0.1 As part of the University of York the ADS adheres to the official policies and guidelines set out above, but at the same time takes steps to secure its own data.

⁶² Fire management procedure (Wardens & Sweepers) - [https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20\(Wardens%20&%20Sweepers\)%20\(VS1.0%20-%20Mar%202014\).pdf](https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20(Wardens%20&%20Sweepers)%20(VS1.0%20-%20Mar%202014).pdf), internal access only.

⁶³ Fire management procedure (PEEP) - [https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20\(PEEP\)%20\(VS1.2%20-%20Aug%202016\).pdf](https://www.york.ac.uk/admin/hsas/safetynet/Fire/Management%20%20Procedure%20-%20Fire%20Safety%20(PEEP)%20(VS1.2%20-%20Aug%202016).pdf), internal access only.

⁶⁴ *Lone Working* - <https://www.york.ac.uk/biology/intranet/health-safety/lone-working/>, accessed 09 August 2021.

7.1 Systems Access

7.1.1 Systems and applications are stored and delivered on discrete independent virtual servers to improve security and reduce interdependence. The provision of appropriate permissions to repository staff is on a needs basis, with levels of access relevant to each specific member of staff and their working practices.⁶⁵ Access to these resources is also restricted through IP address, the use of encrypted passwords³¹, and multi-factor authentication.³² A centralised, password management system facilitates the management of all passwords⁴¹, with regular updates to all passwords, in accordance with the *Information Security Risk Assessment*.¹

7.1.2 When members of staff change roles or leave the organisation, the ADS adheres to the guidelines outlined by the University of York IT Service.⁶⁶ Internal ADS permissions and passwords are changed, updated or removed as appropriate within the Password Management System when a member of staff leaves.

7.2 Data Storage

7.2.1 Repository staff, as employees of the University of York, have access to the same resources and systems as other staff, consequently they have access to:⁶⁷

- Personal filestore (non-sensitive data)
- Personal Google Drive (short-term, non-sensitive data)
- Shared Drives (short-term, non-sensitive data)
- Shared filestore (non-sensitive data)
- Archive data (local) storage: As outlined in the *Preservation Policy*.⁶⁸ All data and metadata (AIP, DIP and SIPs) are stored and backed up by ADS staff on the University of York network. On this network ADS data is stored on a pair of Dell Compellent enterprise storage arrays (current capacity ~1Pb), located in two different data centres, 2 km apart. Each data centre is dedicated and purpose built, and has full UPS, fire suppression, generators and is 'lights out' and alarmed. Within each site, data is protected by being spread redundantly across multiple disks ('RAID'). Between data centres it is replicated asynchronously, with a maximum data loss of 2 hours. Data is protected against accidental deletion or ransomware via read-only snapshots, taken hourly and stored for 30 days), and additional backups to tape versions, which are stored for 90 days.⁶⁹
- Archive data (off-site) storage: All archived data is synchronised regularly from the local copy in the University of York to dedicated off-site storage provided by Amazon Web Services (AWS) and Amazon S3 Glacier.⁷⁰

⁶⁵ In accordance with the University of York, *Managing User Access Policy*¹⁷

⁶⁶ Information for staff leavers - <https://www.york.ac.uk/it-services/accounts/staff-leavers/>, accessed 09 August 2021.

⁶⁷ Additional information on these services is detailed in 3.2 *Data Storage*.

⁶⁸ Preservation Policy - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#PresPol>, accessed 09 August 2021.

⁶⁹ For further discussion see the *Preservation Policy* - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#PresPol>, accessed 09 August 2021.

⁷⁰ Amazon S3 Glacier - <https://aws.amazon.com/glacier/>, accessed 09 August 2021.

- Non-networked personal storage: Data may also be stored on personal storage devices, such as memory sticks or external drives. Although such storage follows guidelines provided by the University of York.⁷¹
- Physical/printed documentation: The ADS runs a 'paperless office', but in rare instances, and largely as a consequence of historical practice, some documentation has been printed out. ADS Offices are locked when unoccupied or outside of office hours to ensure the correct storage of documentation. The storage of sensitive or personal information and documents within 'lockable' filing cabinets restricts access.

7.2.2 When members of staff change roles, or leave, the organisation, access to storage and resources is re-evaluated and where necessary updated or removed.⁶⁷

7.3 Data Sharing

7.3.1 Sharing with the ADS

7.3.1.1 Repository staff, as employees of the University of York, have access to the same file sharing services and systems as other staff, consequently they have access to:

- Physical media: The ADS occasionally receives data sent on a variety of physical media via the postal or courier services. On receipt of data, ADS staff follow the guidelines on security provided by the University of York IT Services.²⁹ The repository also follows the processes and protocols for checking deposition data, outlined in the *Ingest Manual*.⁷²
- ADS-easy: The ADS operates its own dedicated digital submission systems, *ADS-easy*⁷³. This service allows depositors to create metadata and upload data directly to the ADS. For security purposes, the system is isolated from data preservation and production servers. Protocols are in place to make sure that data sent through this system adheres to the guidelines set out by the University of York IT Services²⁹ and the *ADS Ingest Manual*.⁷³
- OASIS: OASIS⁷⁴ is a data capture and report submission service that allows archaeological and heritage practitioners to provide information about their investigations to local Historic Environment Records (HERs) and respective National Heritage Bodies. These are preserved and added to the ADS Library.⁷⁵
- Google Drive⁵⁷
- University of York DropOff Service⁵⁸
- Other digital file sharing and cloud services (e.g. DropBox, OneDrive, etc.)
- File transfer (SFTP and SCP)

7.3.1.2 Where used, the ADS follows the guidelines on security provided by the University of York IT Services²⁹ alongside the procedures and processes, on the deposition of data, outlined in the *Ingest Manual*.⁷³

⁷¹ See Section 3.1.4 *Storage media and memory sticks* for a brief discussion and associated links.

⁷² *Ingest Manual* - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#Ingest>, accessed 09 August 2021.

⁷³ *ADS-easy* - <http://archaeologydataservice.ac.uk/easy/>, requires registration.

⁷⁴ OASIS - <https://oasis.ac.uk/>, accessed 09 August 2021.

⁷⁵ <https://archaeologydataservice.ac.uk/library/>

7.3.2 Sharing with users

7.3.2.1 Repository staff, as employees of the University of York, have access to the file sharing services and systems as all other staff, and outlined in 3.3 *Data sharing*.⁷⁶

7.3.2.2 More generally the ADS shares datasets using the following systems and resources:

- ADS website and resources⁷⁷: All data from the Dissemination Information Package (DIP) of each archive or dataset is accessible through the ADS website.⁷⁸
- Physical media: In a few circumstances, where datasets are too large for easy dissemination over the internet, they are made available 'on request' through the exchange of physical media, typically using portable media. Requests of this nature are few in preference of the sharing of data using internal and external digital exchange services. The ADS ensures compliance to the University of York IT Services security guidelines.²⁹
- Digital exchange services (internal): As outlined in section 3.3 *Data sharing*.⁷⁸
- Digital exchange services (external): External file sharing and cloud services (e.g. DropBox, OneDrive, etc.)
- OAI-PMH: The ADS provides a series of OAI-PMH targets for subsets of its collection and file-level metadata. A full list is available from the dedicated page.⁷⁹

7.3.2.2 The access policy for ADS resources is in the *Preservation Policy*⁴, the *Policy and Guidance on the Deposition of Personal, Confidential and Sensitive Data*⁸⁰ and the website Terms and Conditions.⁸¹ In a small number of instances, access to data may be restricted or embargoed at the request of depositors.⁸² Such restrictions are generally short term and negotiated on a case-by-case basis.

8. ADS Fire Safety

8.0.1 The ADS, as part of the University of York, employs the fire safety and procedures of its host institution (see Section 4: *Fire Safety Procedure*). All staff must undergo mandatory basic fire safety training. The provision of fire doors, alarms and appropriate evacuation

⁷⁶ See above.

⁷⁷ These include ArchSearch (<https://archaeologydataservice.ac.uk/archsearch/basic.xhtml>), Archives (<https://archaeologydataservice.ac.uk/archive/>) and the ADS Library (<https://archaeologydataservice.ac.uk/library/>), accessed 09 August 2021.

⁷⁸ ADS website - <https://archaeologydataservice.ac.uk/>, accessed 09 August 2021. Data may also be made available using multi-zip files, where the file or dataset is particularly large. See, for example, Peter Reynolds, Roger Hedge, Christine Shaw (2016) Butser Ancient Farm Project Archive 1972-2007 [data-set]. York: Archaeology Data Service [distributor] <https://doi.org/10.5284/1039935>. Repository staff create and regularly screen these files.

⁷⁹ OAI-PMH target - <https://archaeologydataservice.ac.uk/advice/OAIPMH.xhtml>, accessed 09 August 2021.

⁸⁰ *Policy and Guidance on the Deposition of Personal, Confidential and Sensitive Data* - <http://archaeologydataservice.ac.uk/advice/sensitiveDataPolicy.xhtml>, accessed 09 August 2021.

⁸¹ Terms and Conditions - <http://archaeologydataservice.ac.uk/advice/WebsiteTerms.xhtml>, accessed 09 August 2021.

⁸² See the *Collection Policy*, section 2.9 Embargo Periods - <https://archaeologydataservice.ac.uk/advice/collectionsPolicy.xhtml> and *Ingest Manual*, section 5.14 Archive release - <https://archaeologydataservice.ac.uk/advice/PolicyDocuments.xhtml#Ingest>, accessed 09 August 2021.

signage throughout the ADS offices protects repository staff in the event of fire, whilst a dedicated fire sweeper/marshal assists all during evacuation.

9. Personal data

9.0.1 In all circumstances the ADS follows the regulations and guidance provided by its parent organisation, the University of York, with regard to privacy and personal data.⁸³ A small number of ADS services require users to register for access, e.g. OASIS, ADS-easy, etc., and hold 'personal' information about those individuals. Where personal data is necessary, the ADS recognises the importance of personal privacy and ensures that all personal data is stored in accordance with UK's *Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations* (2019). As employees of the University of York, all ADS staff follow the guidance provided by the University of York⁸⁴ and all staff are required to undertake mandatory data protection training.⁸⁵ In those circumstances where users register for services, all passwords are stored in a hashed form. The ADS provides its own dedicated Privacy Policy.⁸⁶ This document also outlines how personal data is shared and with whom.

9.0.2 All documentation that exists in a 'physical' form is stored within the ADS Offices which are locked when unoccupied, or outside of office hours. Any documentation containing personal information is stored in 'lockable' filing cabinets that are only accessible on request to the ADS Administrator.

9.0.3 The ADS website uses cookies to improve functionality and provide analytics, although these are non-personally-identifying. The Cookies Policy provides information of those cookies used by the ADS website, and provides details on how any information is used.⁸⁷

⁸³ Legal statements - <https://www.york.ac.uk/about/legal-statements/#tab-4>, accessed 09 August 2021.

⁸⁴ *Data Protection Legislation* - <https://www.york.ac.uk/records-management/dp/>, accessed 09 August 2021.

⁸⁵ *Data Protection Training* - <https://www.york.ac.uk/records-management/dp/training/>, accessed 09 August 2021.

⁸⁶ Privacy Policy - <https://archaeologydataservice.ac.uk/advice/Privacy.xhtml>, accessed 09 August 2021.

⁸⁷ Cookies Policy - <https://archaeologydataservice.ac.uk/about/Cookies.xhtml>, accessed 09 August 2021.