



Archaeology
Data Service

Introduction to Digital Archiving

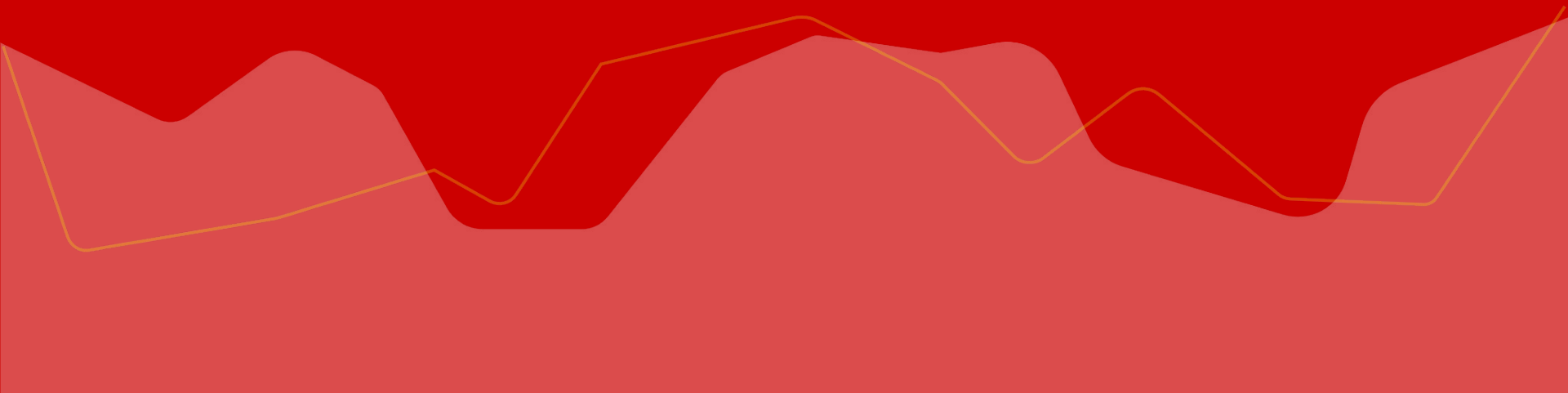
IRP Course, Wednesday 29th of June

Dr Katie Green
Collections Development Manager
Archaeology Data Service

Outline

- Introduction to the Archaeology Data Service (ADS)
 - Importance of digital archiving for archaeology
 - Why is digital data fragile?
 - What is digital preservation?
-
- What can you do?
 - Data Management Planning
 - Metadata

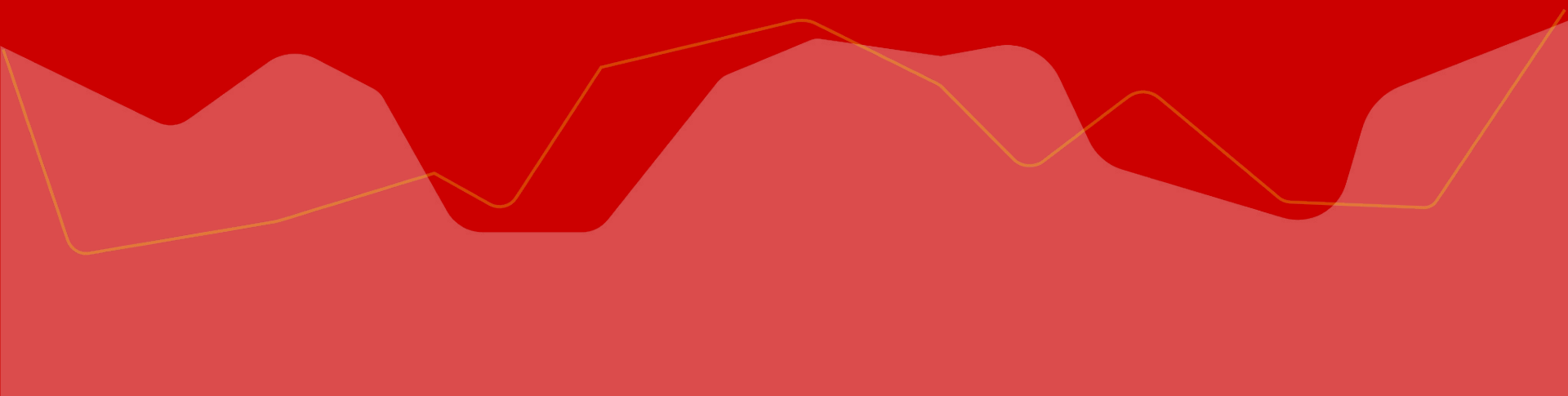
Archaeology Data Service



- Discipline specific repository
- Established in 1996
- Based at the University of York
- CoreTrustSeal Certified
- Staff of 15
- International collecting remit
- Depositor funded



“ is to support research, learning and teaching with freely available, high quality and dependable digital resources by preserving and disseminating digital data in the long term.. ”



F_{indable}

A_{ccessible}

I_{nteroperable}

R_{eusable}



GOFAIR: <https://www.go-fair.org/fair-principles/>

Open Access to:

- 1.4m metadata records of UK archaeology
- 65,000 UK reports
- 46,000 articles and monographs
- 2500 international project collections
- 25TB of data
- 3.6 million files of
- 308 unique formats

FEATURED COLLECTION
Archaeology at Glastonbury Abbey on-line:
The Lady Chapel

The Archaeology Data Service is the only accredited digital repository in the UK for heritage data, with over 20 years of experience supporting research, learning and teaching with free, high quality and dependable digital resources.

NEWS
Introducing Unpath'd Waters – a new innovative project that aims to reshape the future of UK marine heritage.

SEARCH
The ADS disseminates a broad range of digital heritage data that are free to access and re-use. This includes data rich archives, unpublished reports, journals and metadata records.

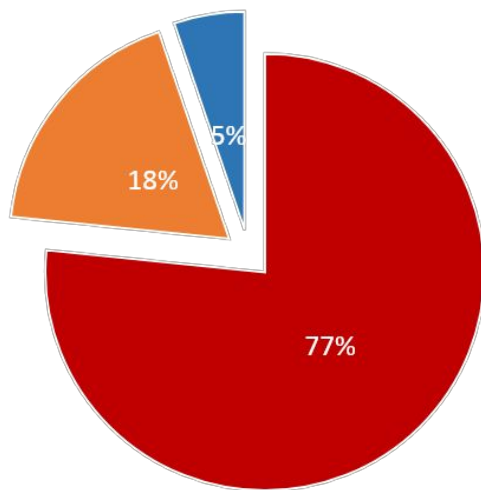
NEWS
Digital Archiving in Archaeology: The State of the Art.

A special issue of Internet Archaeology sponsored by COST Action, SEADDA and the EAC.

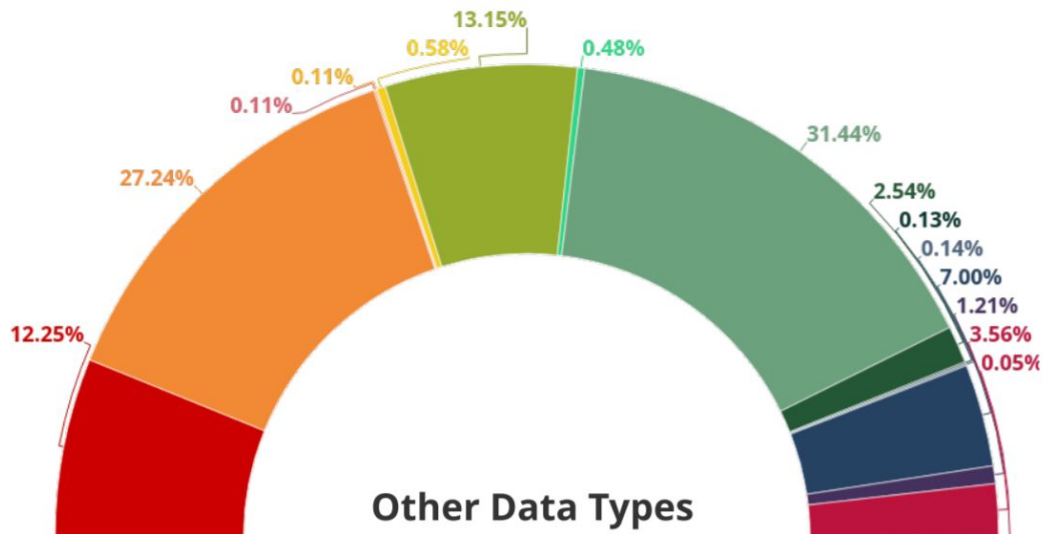
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ads ARCHAEOLOGY DATA SERVICE
re3data.org
UNIVERSITY of York
WORLD DATA SYSTEM
CORE TRUST SEAL

The ADS Archive by Data Type



■ Image ■ Text ■ Other data types



Other Data Types

● Vector ● Geophysics ● Laser Scanning ● LIDAR ● Mass Spectrometry ● GIS ● Photogrammetry
● Spreadsheets ● Websites ● RTI ● Harris Matrices ● Databases ● Video ● 3D Models ● Audio

A photograph of an archaeological excavation site. In the foreground, a woman in a pink shirt and brown trousers is kneeling, gesturing towards a large pile of dark, charred animal bones, likely antlers or horns, scattered on the ground. To her left, another person in a blue long-sleeved shirt is leaning over, working on the site. In the background, a man in a blue t-shirt and a yellow hat is kneeling, wearing purple gloves and working on a section of the site. Further back, another person in a yellow jacket is sitting on the ground, writing on a white sheet of paper. Various pieces of equipment, including a purple bag and an orange bucket, are visible around the site. The ground is dark and uneven, with some areas appearing to be dug up.

Academic

Star Carr and Lake Flixton
University of York Research Project.
Image © University of York

**Crossrail: Archaeological Investigations Conducted in
Advance of Construction of the Elizabeth Line**
Image © Crossrail Ltd, Museum of London Archaeology



Commercial Archaeology

A photograph of an archaeological excavation site. In the foreground, a woman wearing a red hijab, sunglasses on her head, and a yellow high-visibility vest stands and looks down at a clipboard. To her right, another person in a yellow vest is bent over, working in the dirt. In the lower center, a man in a yellow vest and a blue cap is kneeling, using a small tool to work on the ground. To the left, a black bucket and a water bottle are on the ground. In the background, a large pile of dirt is visible, and several other people in yellow vests are working. A crowd of people, including children in school uniforms, stands behind a metal barrier on the right side of the site. The scene is outdoors on a grassy area with trees in the background.

Independent Sector

**Whitworth Park Community
Archaeology and History Project**
Image © Whitworth Park Community
Archaeology and History Project

Visited by:



739,881

Unique Visitors



2,034,066

Unique Page Views



410,816

Downloads

Importance of Digital Archiving





Non Replicable

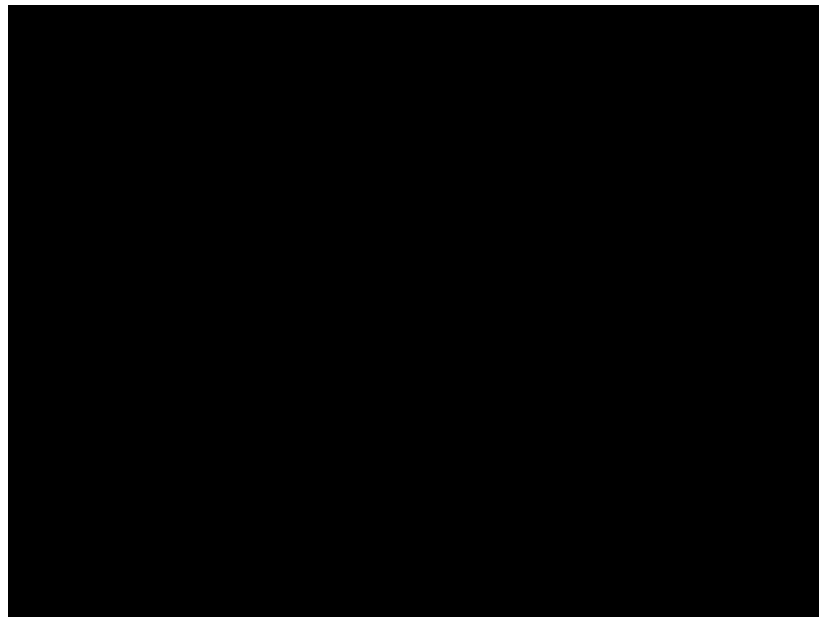
Destructive



It's not all about finds....



Image © Buch Edition



Video © David Nash, Jake Ciborowski, Tobias Salge, Magret Damaschke, Steven Goderis

Born Digital

Data created in digital format



© Oxford Archaeology (North)

Digitised Data

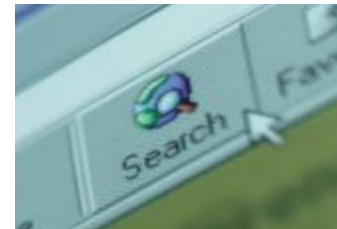
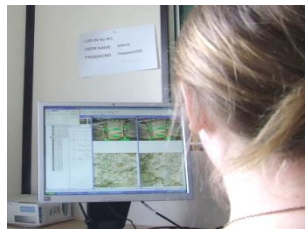
Hardcopy converted to digital format



© State Library of New South Wales 2015

Digital Data

- Easier to create,
- Easier to update,
- Easier to access.



This makes our work more **transparent**, our conclusions more **valid** and properly **supported**.

Accessibility **facilitates re-use**, enabling **new research** questions to be answered, providing greater **efficiency** and allowing archaeology to contribute to **wider public benefits**, achieving maximum value from research.

Digital Data is Fragile!

- Digital data is encoded,
- Digital data requires software and technology to present content.



Your data



Computer data



```
01110101011010101
10100101011010101
01010101011010101
01000101011010101
01101010101001100
00101011101100111
10101001010101010
```

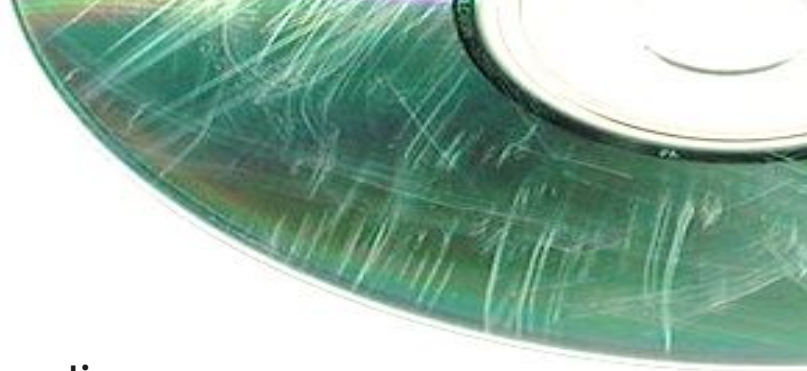
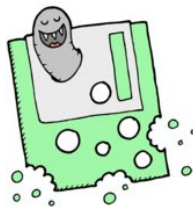
Why is Digital Data Fragile?



Why is Digital Data Fragile?

- **Deterioration/damage** of the storage medium

- Degrade – Bit rot!
- Can be easily damaged
- Can also be easily overwritten or deleted



Case Study: NASA

smh.com.au
The Sydney Morning Herald

[News](#) [Entertainment](#) [Business](#) [Sport](#) [Travel](#) [Tech](#) [Other Sections](#)

[Home](#) » [Specials](#) » [Science](#) » [Article](#)

One giant blunder for mankind: how NASA lost moon pictures

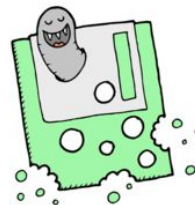


Case Study: PIXAR



Why is Digital Data Fragile?

- **Deterioration** of the storage medium
- Obsolescence of the **software**



Case Study: NASA

A Mars rover, likely a Spirit or Opportunity rover, is shown on the surface of Mars. The rover is a six-wheeled vehicle with a complex mechanical arm and various scientific instruments. It is positioned on a reddish-brown, rocky terrain. In the background, there are rolling hills under a hazy, orange-tinted sky. The rover's solar panels are partially visible, and its wheels are clearly defined on the ground.

Data recorded on magnetic tape

Climate controlled environment

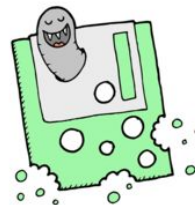
In the 1990s they could not decode the formats

Retype everything

Photos: Courtesy
NASA/JPL-Caltech

Why is Digital Data Fragile?

- **Deterioration** of the storage medium
- **Obsolescence** of the **software**
- **Obsolescence** of the **storage medium**



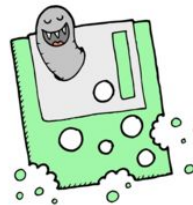
Media Types

Experience rapid change



Why is Digital Data Fragile?

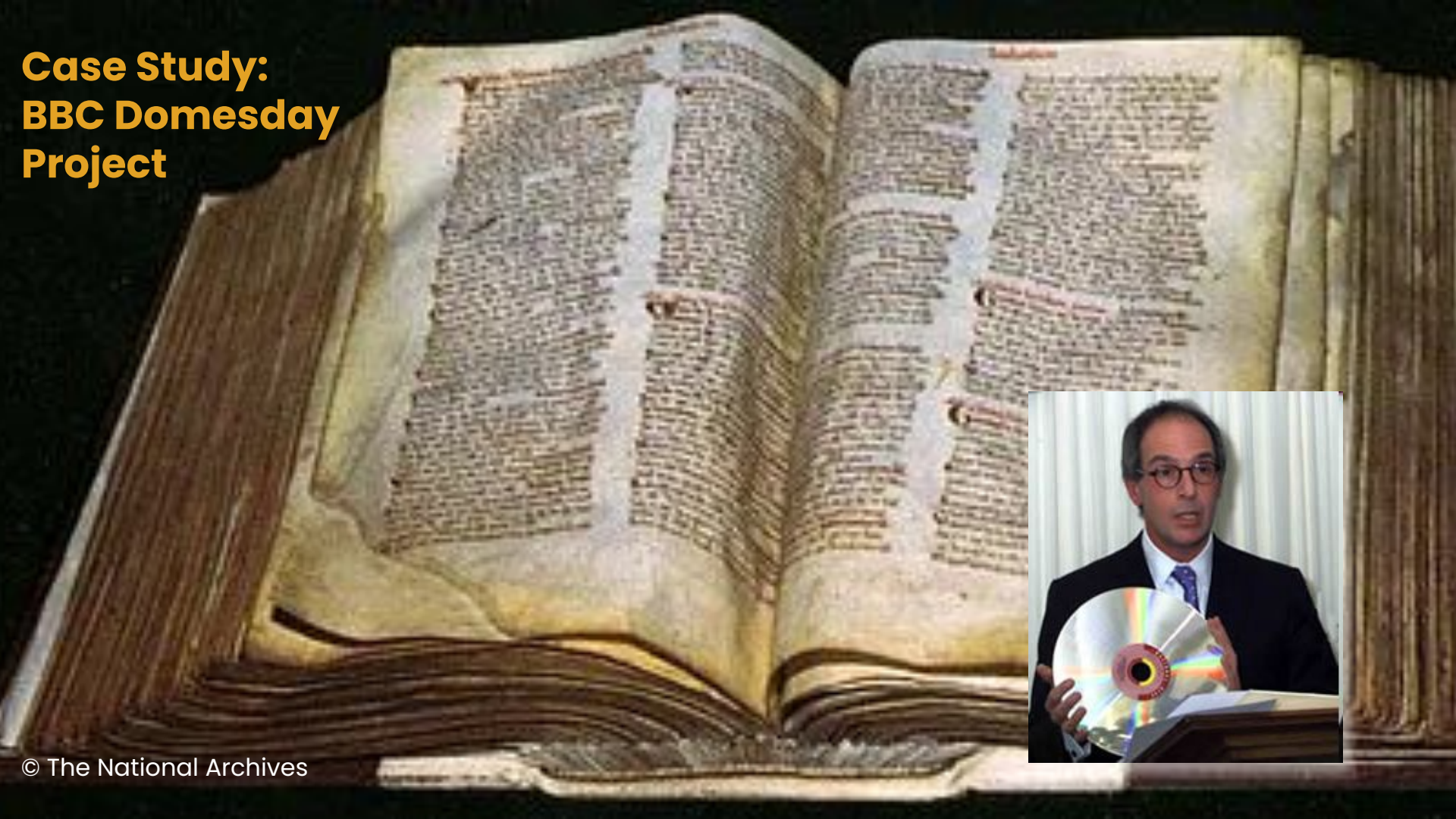
- **Deterioration** of the storage medium
- **Obsolescence** of the **software**
- **Obsolescence** of the **storage medium**
- **Obsolescence** of the **hardware**



Hardware experiences rapid
change

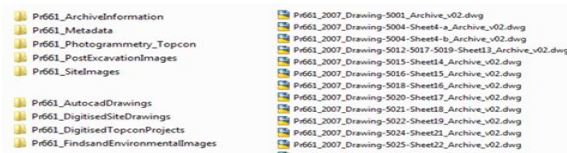
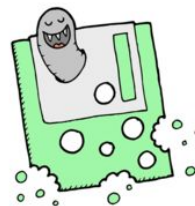


Case Study: BBC Domesday Project



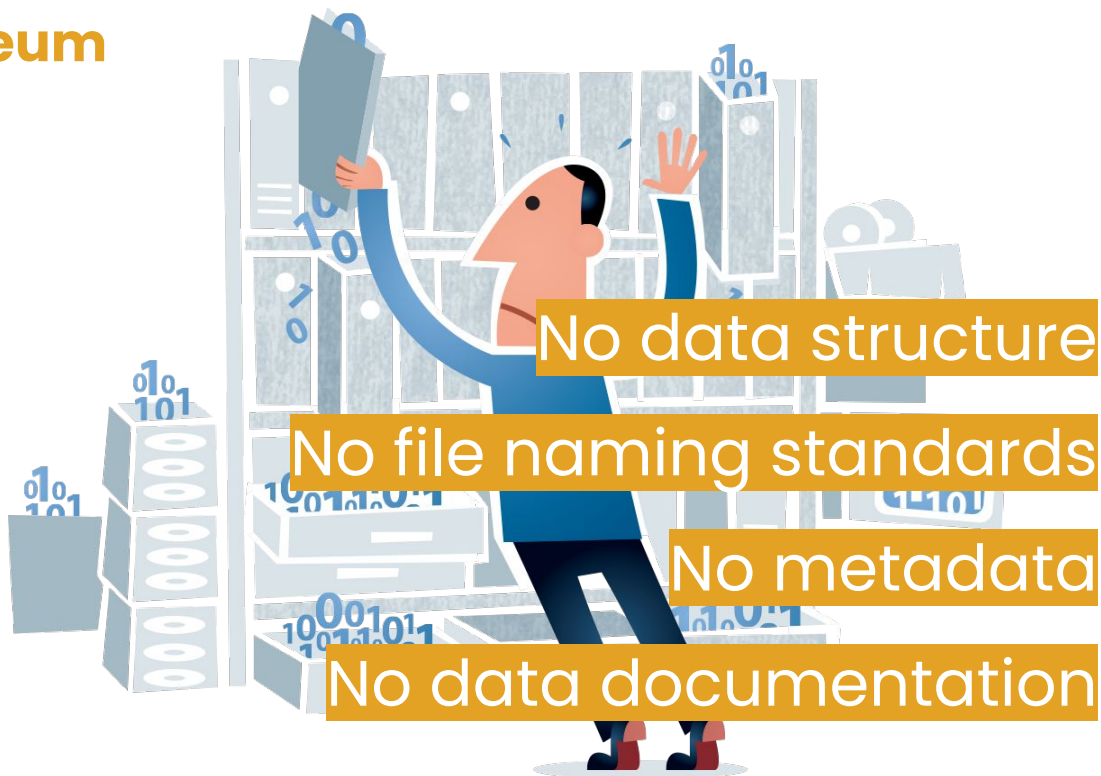
Why is Digital Data Fragile?

- **Deterioration** of the storage medium
- **Obsolescence** of the **software**
- **Obsolescence** of the **storage medium**
- **Obsolescence** of the **hardware**
- **Failure** to **document** the data adequately



Case Study: Newham Museum Archaeological Service

- approx. 150 excavations
- 6432 individual files
- 1500 excavation reports
- 700 database files
- 1200 geophysics files
- 200 separate projects



Archaeological Data

2,000 years in the making,

3 days to record,

Backed up in 10 seconds,

Lost forever?

How can we prevent this?

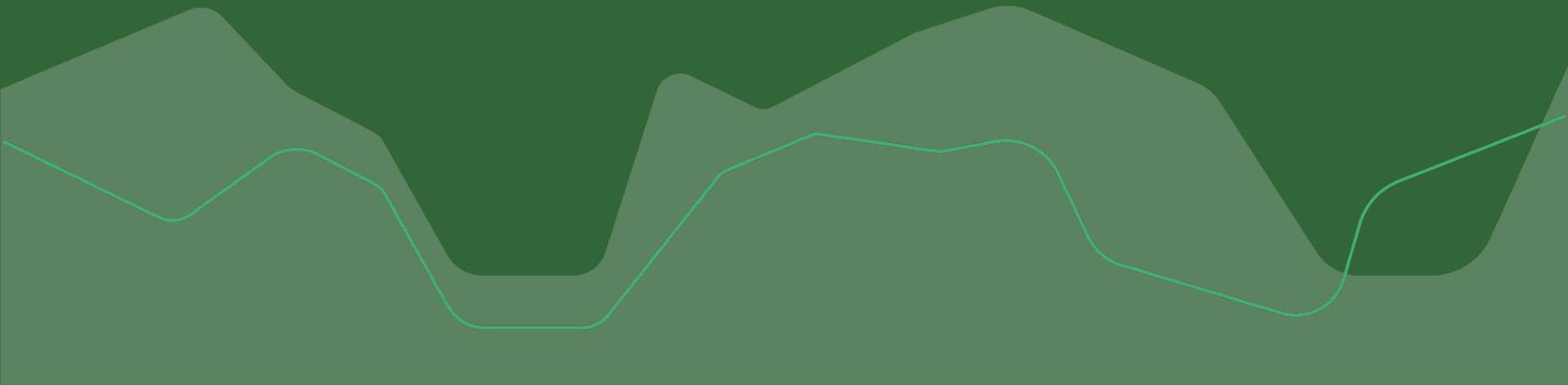


Why Deposit?

1. Ensure Preservation
2. Provide Access
3. Professional Recognition
4. Follow Professional Standards
5. Meet Governmental Requirements
- 6. Meet Funding Agency Requirements**



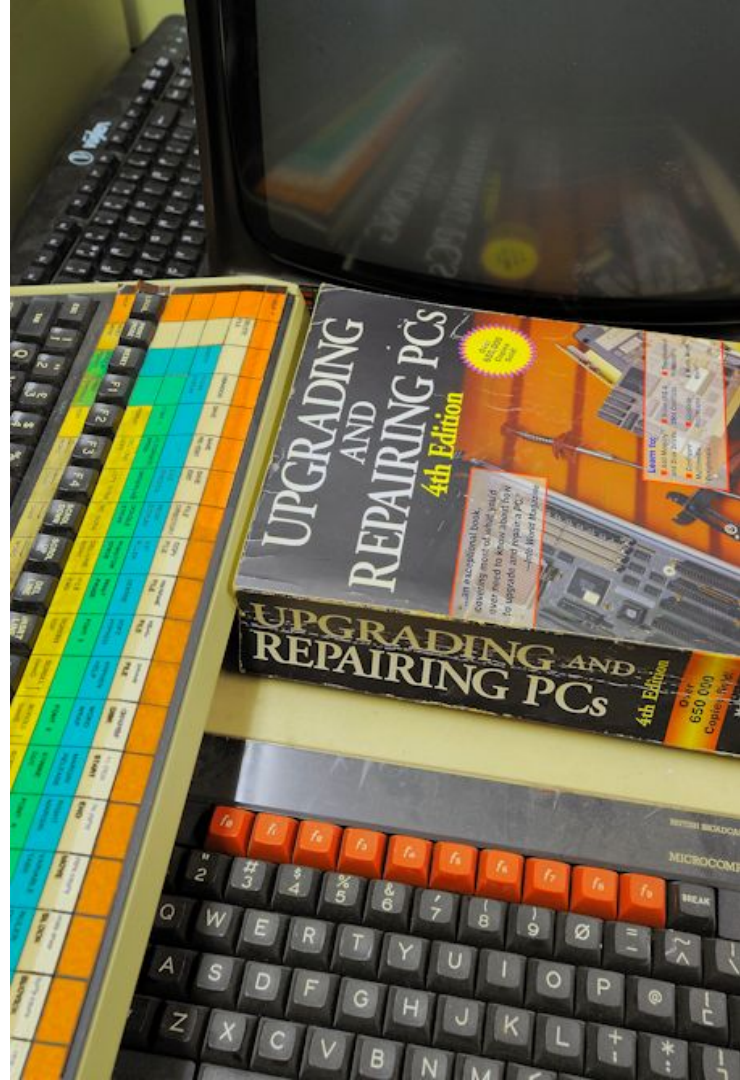
How do we mitigate the risks of
digital data through **Digital
Preservation?**



Digital Preservation: 3 Methods

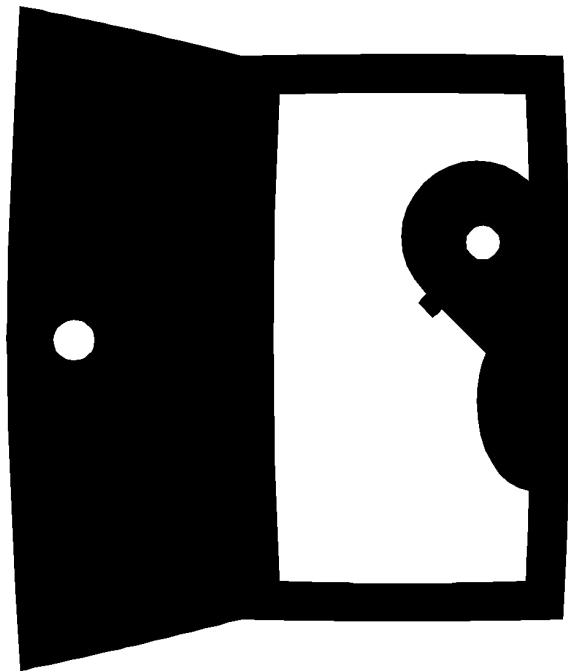
- The Hardware Museum
- The Software Emulator /Virtualisation
- Migration

Much more intervention is needed
than conventional archives

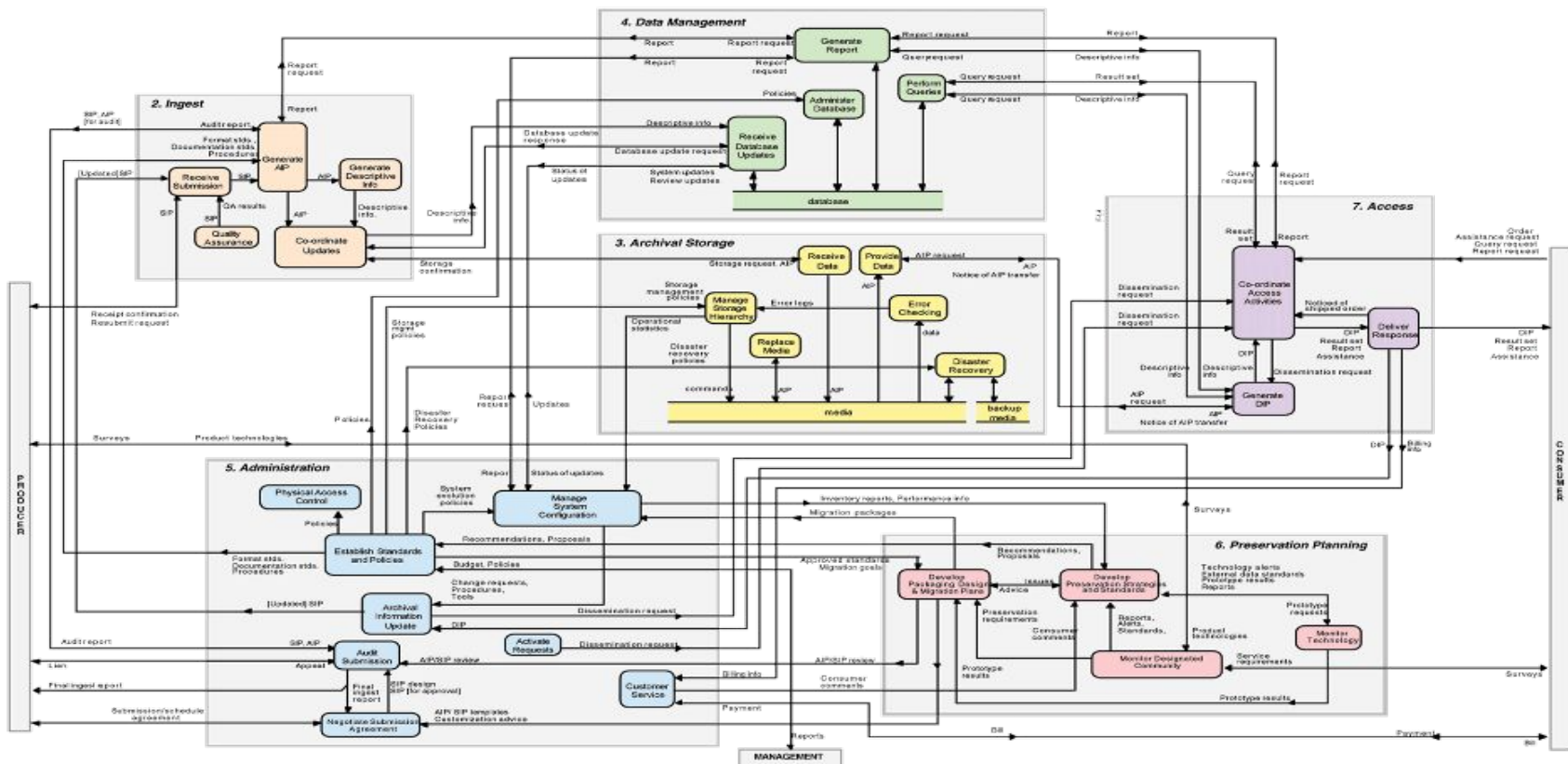


Behind the Scenes at the ADS

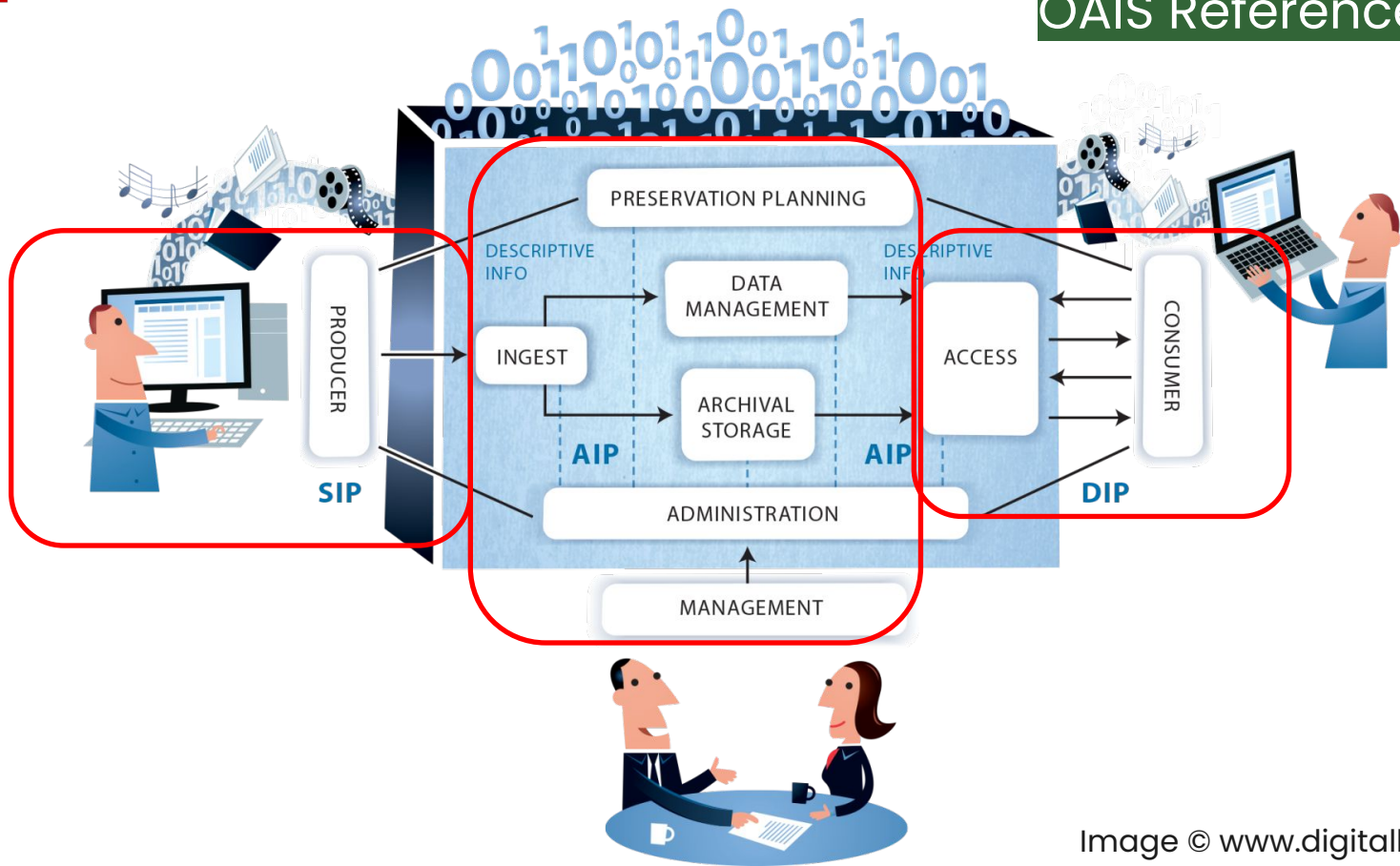
- Follow **FAIR** principles
- Use data **migration** strategies
- Follow the **Open Archival Information System** (OAIS) reference model
 - International ISO standard 14721
- Ensure the multiple and regular **backups** and the **renewal** of storage media
 - c. 30 virtual Servers
 - Tape backup at University of York
 - Cloud storage



OAIS Reference Model



OAIS Reference Model



Information Packages

Delivery	Preservation	Presentation	Notes
Uncompressed Baseline TIFF v.6 .tif	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png or Joint Photographic Expert Group .jpg	Any EXIF & IPTC metadata will also need preserving
Portable Network Graphics .png	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
Joint Photographic Expert Group .jpg / .jpeg	Uncompressed Baseline TIFF v.6 .tif	Joint Photographic Expert Group .jpg / .jpeg	""
Graphics Interchange Format (Compuserve) .gif	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
Bit-Mapped Graphics Format (Microsoft) .bmp	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
PhotoCD .pcd	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
Photoshop (Adobe) .psd	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
CorelPaint .cpt	Uncompressed Baseline TIFF v.6 .tif	Portable Network Graphics .png	""
Adobe Digital Negative .dng	Adobe Digital Negative .dng and .tif	Adobe Digital Negative .dng and Joint Photographic Expert Group .jpg	""
JPEG2000 .jp2 / .jpx	Uncompressed Baseline TIFF v.6 .tif	JPEG2000 .jp2 / .jpx	""

Migration

ADS Collections Management System

Tracking
Collections
DOIs
People
Organisations
Addresses
Admin
Logout

search collections

The Antikythera Survey Project (Collection Id: 1001115)

See this archive on-line

Update Collection

Go to Tracking Project (1003202)

Go to DOI (10.5284/1012484)

General

Coverage

Relationships

Accessions

Files

Processes

Web Admin

Archsearch

Export

Processes:

+

Migration - Preservation (Id: 26959, Microsoft Word for Windows Document 97-2003 - DOC to Microsoft Word for Windows Document 2007 - DOCX)

+

Migration - Preservation (Id: 26960, ESRI Shapefile - SHP to Geography Markup Language - GML)

+

Migration - Preservation (Id: 26961, ASCII Text File - CSV to Plain Text File - TXT)

+

Editing - Corrective (Id: 26962, Plain Text File - TXT to Plain Text File - TXT)

+

Migration - Dissemination (Id: 26963, ESRI Shapefile - SHP to Zipped Archives - ZIP)

+

Migration - Dissemination (Id: 26964, ASCII Text File - CSV to Plain Text File - TXT)

Processes:

Migration - Preservation (Id: 26959, Microsoft Word for Windows Document 97-2003 - DOC to Microsoft Word for Windows Document 2007 - DOCX)

Dates:

13-Jan-2012 to 13-Jan-2012

Description:

Conversion of .doc file to .docx for preservation.

Result:

Success

Input:

1 .doc file from /ADS_preservation/arch-1115-1/original/2320/asp_ads.doc

Output:

1 .docx file to /ADS_preservation/arch-1115-1/admin/project_metadata/asp_ads.docx

Hardware:

PC

Software:

Microsoft Office Word 2007 SP2

Operating System:

Microsoft Windows XP Professional 2002

Comments:

Agent:

Moore, Ray

Accession Id:

1002320

Added:

13-Jan-2012

Added By:

rhm103

Migration - Preservation (Id: 26960, ESRI Shapefile - SHP to Geography Markup Language - GML)

Dates:

13-Jan-2012 to 13-Jan-2012

Description:

Conversion of shapefiles to gml for preservation, a process that also included the .shp/.dbf/.shx elements.

Result:

Success

Input:

5 .shp/.dbf/.shx files from /ADS_preservation/arch-1115-1/original/2320/dbf/

Output:

5 .gml/.xsd files to /ADS_preservation/arch-1115-1/preservation/gml/

Hardware:

PC

Software:

FWTools 2.4.6

Operating System:

Microsoft Windows XP Professional 2002

Comments:

NB: .prj and .xml files were also added to the preservation versions, along with textual documentation supplied by the depositor.

Agent:

Moore, Ray

Accession Id:

1002320

Added:

13-Jan-2012

Added By:

rhm103

Migration - Preservation (Id: 26961, ASCII Text File - CSV to Plain Text File - TXT)



Handbook

<https://www.dpconline.org/handbook>

Novice to Know-How: Online Digital Preservation Training

<https://www.dpconline.org/digipres/train-your-staff/n2kh-online-training>



A community of archaeologists and digital specialists working together to secure the future of archaeological data across Europe and beyond.

<https://www.seadda.eu/>

What can you do?



Protecting your Digital Data

- Recognise data is as **fragile** as the archaeological record we excavate
- Stop archiving data as objects rather than **computerised information**

My lithics report is
here, on a CD



Protecting your Digital Data

- Recognise data is as **fragile** as the archaeological record we excavate
- Stop archiving data as objects rather than **computerised information**
- Create **Data Management Plans**
- Promote the **FAIR principles**
- Professionally **preserve** digital material

My lithics report is
here, on a CD



Create Data Management Plans

The bottom of the slide features a series of overlapping, wavy, light purple lines that create a sense of movement and depth against the solid purple background.

A DMP is a formal document that outlines how data are to be handled both during a research project, and after the project is completed.

The goal of a data management plan is to consider the many aspects of data management, metadata generation, data preservation, and analysis before the project begins so that the data is well-managed in the present and prepared for preservation in the future.

Data Management Plans should be:

- Project specific
- Iterative, living documents,
- Be created collaboratively and in consultation with all team members,
- A tool to help you and your colleagues manage your data and your project.

A good DMP will map out how the project team are managing data and provide a handy checklist to help keep on top of changes which might impact the integrity of your data or the resources required throughout your project.

Maintaining an up-to-date version of the DMP throughout a project is good practice and undertaking a meaningful review of the DMP takes a small amount of time but will help save time and frustration later and maximise the value of your project data.

ClfA Dig Digital Toolkit (<https://www.archaeologists.net/digdigital>)

An archaeological Data Management Plan should include the following:

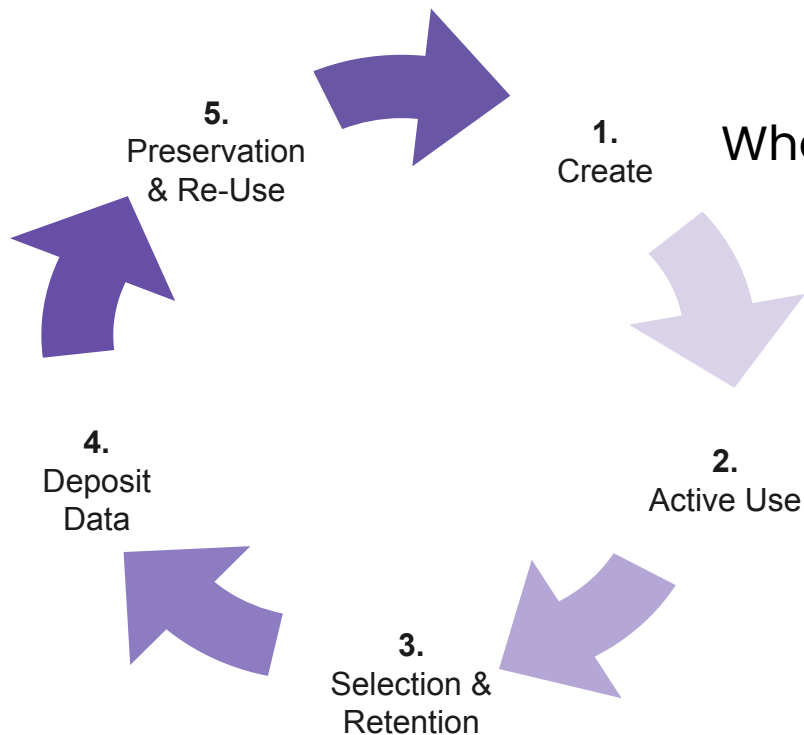
1. **Context** of the DMP
2. **Roles, responsibilities** and when the DMP will be **reviewed**
3. A **description** of the data to be collected
4. The **Standards** and **methods** used for data collection and data management
5. Consideration of **ethics, Intellectual Property Rights** and **data restrictions**
6. Plan for **data sharing, access** and **security** during the project
7. Plan for long-term **preservation** and **access** after the project

Data Management Plans

Data management plans should cover the following:

- Description of the data to be collected / created
- Standards / methodologies for data collection and management
- Ethics and Intellectual Property concerns or restrictions
- Plans for data sharing and access
- Strategy for long-term preservation

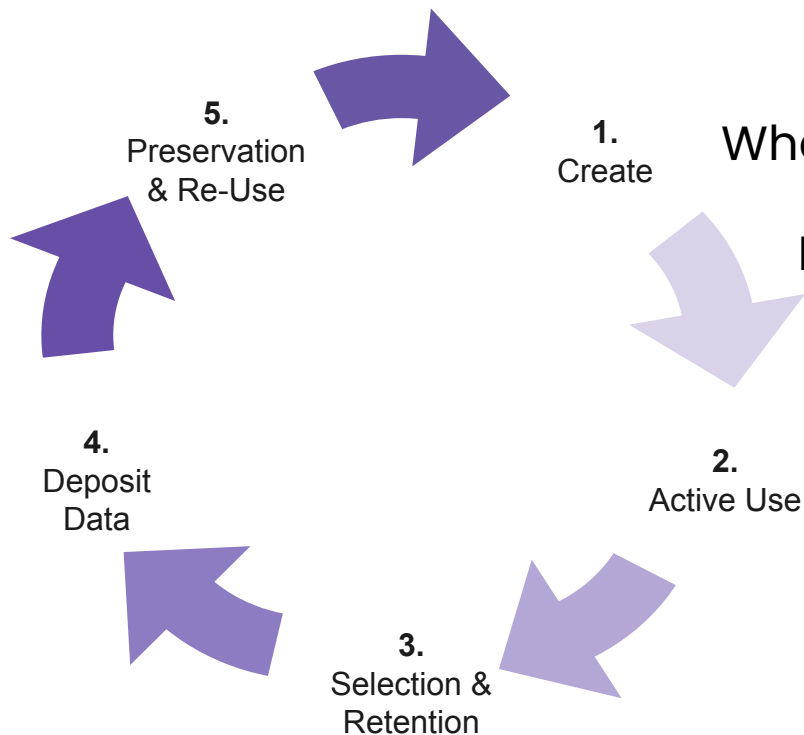
Data Cycles & Management Plans



What data will you collect or create?

- Data Types
 - Text documents
 - Artefact analyses
 - Sample analyses
 - Survey data
 - Drawings
 - Photographs
 - Recorded interviews
 - Etc..
- In what file formats?
- How big will the file sizes be?

Data Cycles & Management Plans

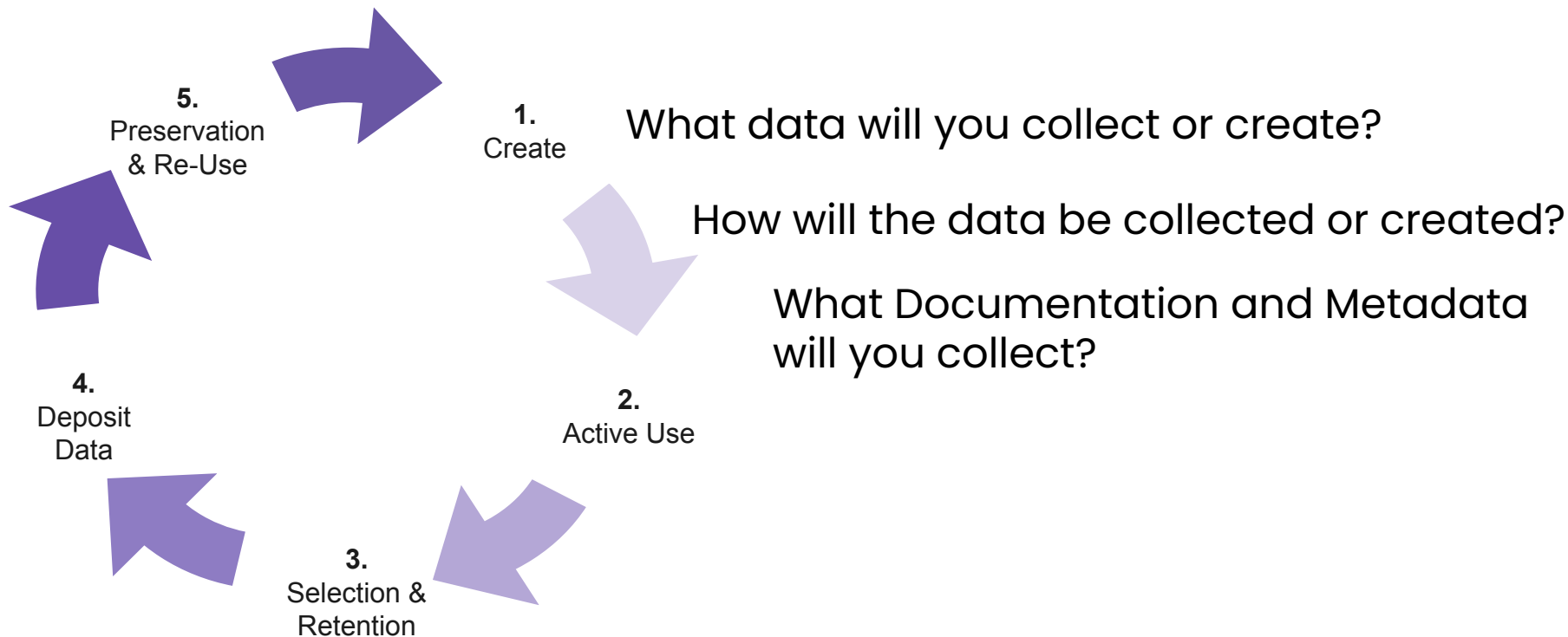


What data will you collect or create?

How will the data be collected or created?

- Methodology of data capture
- How will it be organised
 - Versioning
 - File naming
- Do you have to follow any requirements?

Data Cycles & Management Plans



Create good metadata and documentation





ARCHAEOLOGY
DATA SERVICE



UNIVERSITY
of York



Why should archaeologists develop a Data Management Plan?

- To avoid the **duplication** or re-working of data and **reduce** the **costs** and **time** spent on data management,
- To provide **continuity** and **consistency** across project staff and facilitate **data sharing**, leading to more **collaboration** and **advances** in archaeological research,
- To allow for the **validation** of results and data re-use, making your archaeological research more **visible** and have greater **impact**,
- To better facilitate the **long-term preservation** of your data,
- To help make archaeological data **FAIR** (Findable, Accessible, Interoperable, Re-usable).

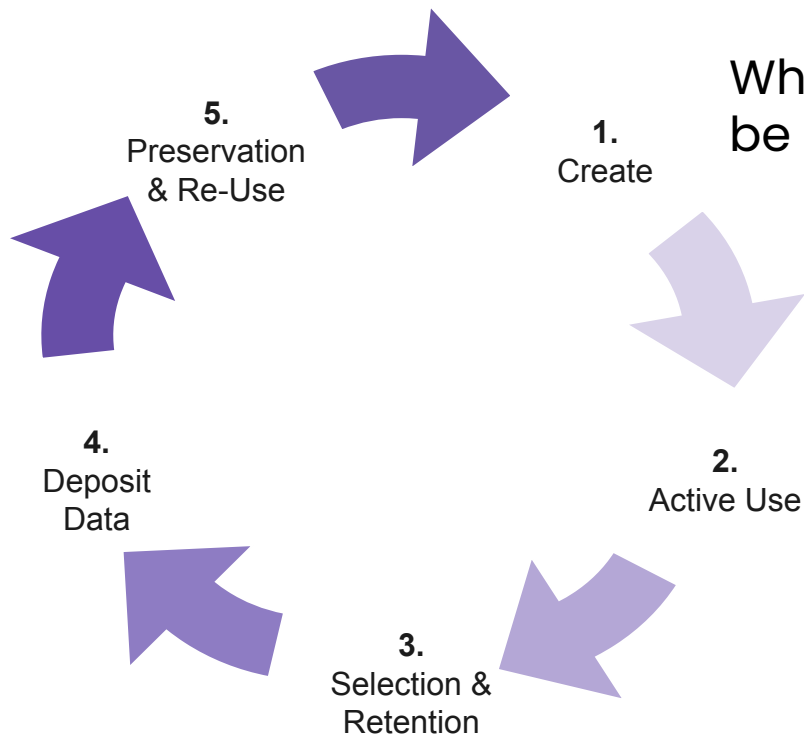
Plan for Re-Use



“The single most useful thing you can do to ensure the long-term preservation of your data is to plan for it to be re-used. Imagining it being reused by someone else who has never met you and who never will meet you, will cause you to approach the creation and design of your data in a new light.

Moreover, studies show that re-use of data is the single surest way of maintaining the integrity of data and tracking errors and problems with it. In short, always plan for re-use”

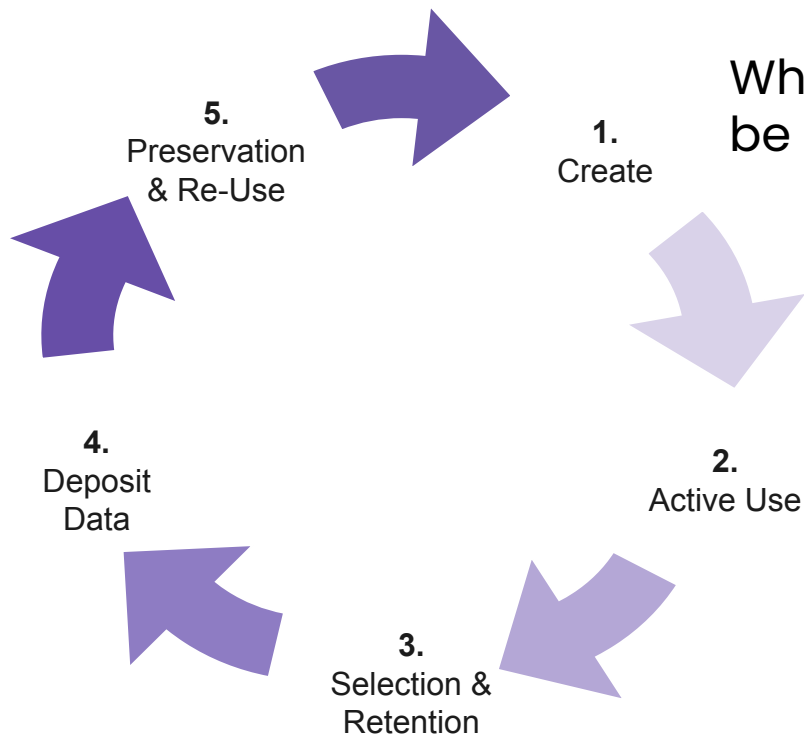
Data Cycles & Management Plans



What Ethical and IPR issues might need to be considered?

- Who owns the data?
- If using third-party data, how do the permissions you have been granted affect licensing?
- Have you gained informed consent for data preservation and sharing?
- How will sensitive data be handled to ensure it is stored and transferred securely?
- How will you protect the identity of participants?
- How will the data be licensed for re-use?
- Will data sharing be embargoed / restricted?

Data Cycles & Management Plans



What Ethical and IPR issues might need to be considered?

- Who owns the data?

How will you share data?

- If using third-party data, how do the permissions you have been granted affect licensing?

How will you store data?

- Have you gained informed consent for data preservation and sharing?

When will you evaluate if your

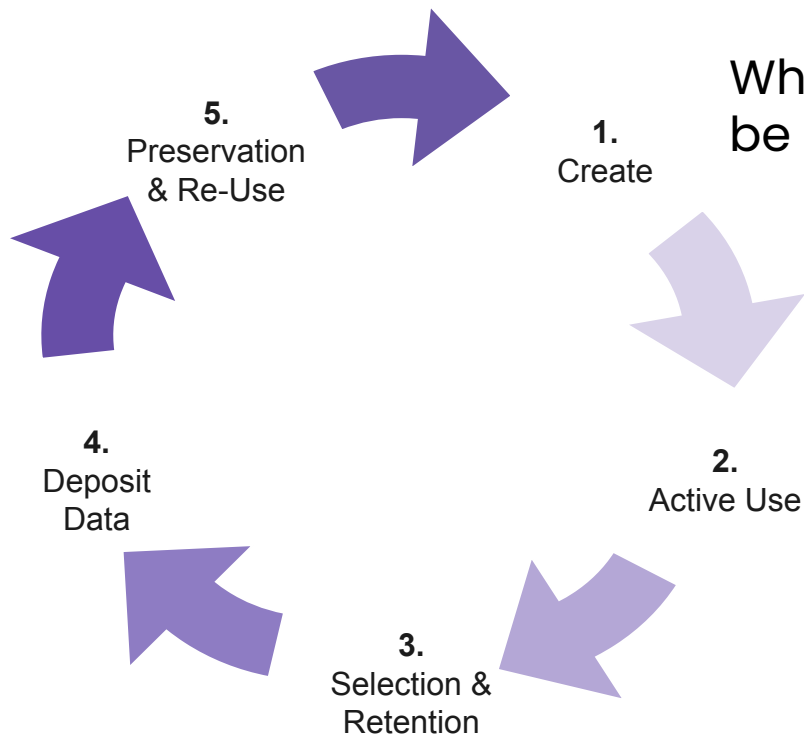
- How will sensitive data be handled to ensure it is stored and transferred securely?

data management is working?

- Is the file structure / naming understandable to others?
- Are further data required?
- Are new data types required?

!?

Data Cycles & Management Plans



What Ethical and IPR issues might need to be considered?

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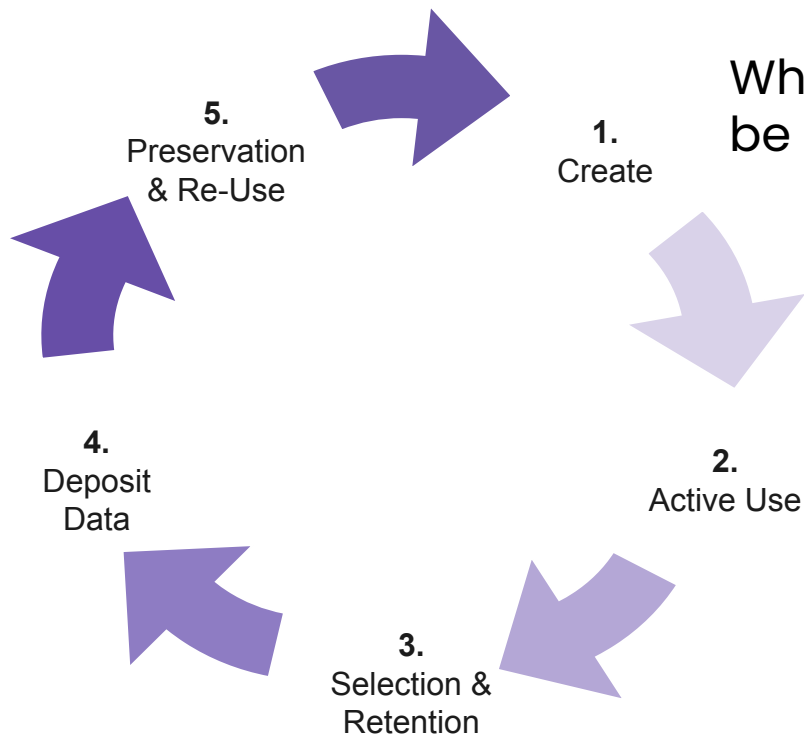
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Data Cycles & Management Plans



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When will you evaluate if your

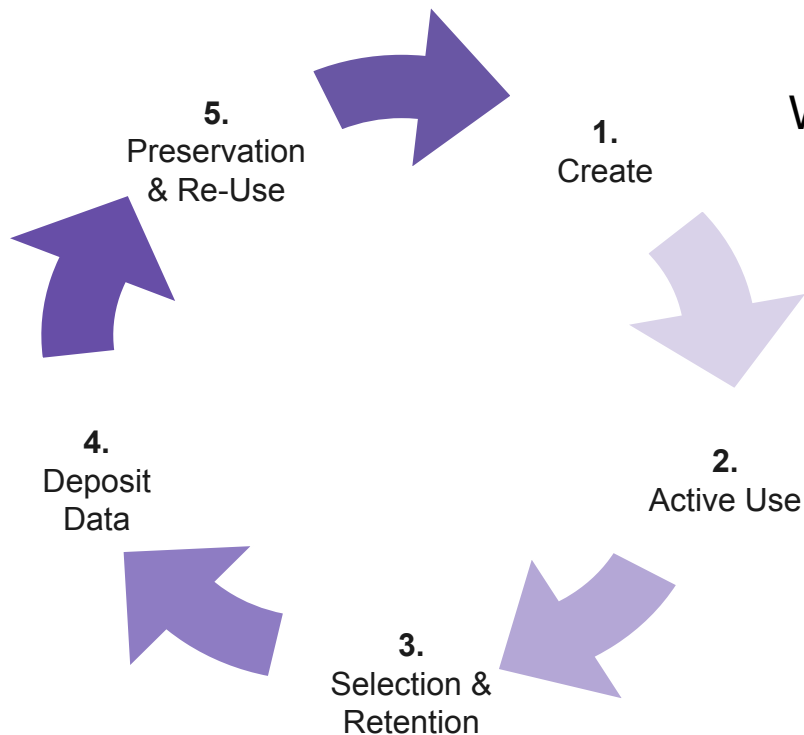
- How will sensitive data be handled to ensure it is stored and transferred securely?

data management is working?

- Is the file structure / naming understandable to others?
- Are further data required?
- Are new data types required?

!?

Data Cycles & Management Plans



What data will you keep?

- Do you have a Selection and Retention strategy?

What data will be deposited and where?

- Define the core data set of the project

Who will be interested in re-using the data?

- Which data are supplementary?

- Licences

- Metadata

- Where? **Trusted Repository!**

Talk to the digital repository early!

Data Management Resources





- Home
- Full Table of Contents
- Digital Archiving
 - About these Guidelines
 - How to use these Guides
 - What is Digital Archiving?
 - Archival Strategies
- The Project Lifecycle
 - Planning for the Creation of Digital Data
 - Project Documentation
 - Project Metadata
 - Data Selection: Preservation Intervention Points
 - The Project Archive: Storage and Dissemination
 - Copyright and Intellectual Property Rights
- Basic Components
 - Documents and Texts

This new and revised series of Guides to Good Practice have been produced as the result of a two-year collaborative project between the UK Archaeology Data Service and Digital Antiquity in the US. The project has encompassed important revisions of the existing six ADS Guides as well as the development of entirely new documents covering areas such as marine survey, laser scanning, close-range photogrammetry, digital audio and digital video. The project has involved previous Guides authors revising existing content alongside new authors, from both Europe and the US, also contributing to the development of the guides into new themes and areas.

The project has been undertaken in collaboration with the Digital Antiquity initiative, a US-based project with the aim of enhancing the preservation of and access to digital records of archaeological investigations. A major aim of the Guides is to provide the basis for archaeological project workflows that will create digital datasets that can be archived and shared effectively by Digital Antiquity's tDAR archive and repository in the US and by the Archaeology Data Service in the UK. The development of the guides was supported by the National Science Foundation, the University of Arkansas and Arizona State University.

Other ADS projects have also fed into the revision and development of the Guides. ADS involvement in the European VENUS project has formed the basis of a guide focussed on marine survey. In addition, the incorporation of findings from the ADS Big Data project, together with the revision of the existing guide on aerial photography and remote sensing data, has seen a significant contribution to the development of the Guides.

Previous versions of the ADS/AHDS Guides to Good Practice have been archived and are still available on the old Guides to Good Practice page.

View the full new Guides to Good Practice Table of Contents



Guidelines for Depositors

Version 1.3, March 2008

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1. Depositing with the ADS

1.1. Why Deposit?

The Archaeology Data Service (ADS) collects, catalogues, manages, preserves, and provides access to archaeological data. These pages describe the process of deposition and points to useful information about the ADS collection.

What is in the ADS collection?

The ADS will provide an archival home for any archaeological data of interest to UK archaeologists.

ads_raster_file_metadata_2014.xls [Compatibility Mode] - Microsoft Excel

1	Location	Longitude (LL)	Latitude (LL)	Easting (OSGB)	Northing (OSGB)	Creation date	Software	Software Version			
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ADS Guidelines for Depositors

Metadata Templates

Dig Digital

Introduction

The *Dig Digital* online resource has been created for the Archaeological Archives Forum³ (<https://archives.archaeologyuk.org/>) and delivered as part of the Historic England funded project: '7796 Creating a Sectoral Standard and Guidance for Managing Digital Data'. The resource has been developed by DigVentures in partnership with CIFA.

The *Dig Digital* guidance aims to provide support for those creating digital data in archaeology, helping archaeologists manage digital data throughout projects and enabling the production of complete, ordered and stable archives which meet professional standards. This online resource links existing CIFA standards to digital materials, signposting good practice information and technical standards, and providing practical advice about how to achieve those standards.

CIFA standards and guidance underpin archaeological archives management and apply to all components – the finds, documents and digital data. A tailored approach to the practical implementation of those standards needs to be considered for each element and digital material is no exception.

By implementing these standards, we ensure that our work is accessible to the public, and to colleagues, researchers and educators.

Archaeological archives and digital data

The accessibility of archives for research and public interest is a key consideration when promoting the value of the material we keep in perpetuity. Archaeologists instinctively see the importance of retaining archives, responding to the destructive nature of investigation by making the site record accessible to all. This resource is about ensuring that the data we curate validates findings and can be used by others in the future. It promotes FAIR principles² – meaning that the information we collect remains findable, accessible, interoperable and re-usable.

The *Archaeological Archive*³ comprises information that will facilitate reanalysis and reinterpretation of the site or project in the light of new data, new research questions, new techniques and new technology. Making data available for access is not just a requirement in archaeology, but increasingly across all research-based sectors. The reasons for this are logical, but are worth stating here:

- Data helps make our work transparent, and our conclusions more valid and properly supported
- Accessibility facilitates re-use, enabling new research questions to be answered which provides greater efficiency and increased impacts
- Open data can be used for interests beyond archaeology, providing a higher return on initial investment
- Making data available contributes to wider public benefits, achieving maximum value from research

This online resource includes background information, step-by-step examples, and case studies, to provide guidance for digital data management within archaeological project delivery. It focuses on the things you can do at each stage of the project that will embed digital data firmly within the process of archaeological archive management.

The guidance does not include detailed technical standards, information about cyber security, or disaster management planning, although you will find some useful links to resources that do.

How to use this resource

There is no right or wrong way to use the resource and, depending on your own experience and knowledge, some parts will be more relevant than others.

Dig Digital Sections

Introduction

Standards

Planning

Document

Structure

Process

Deposition

Resources

Glossary

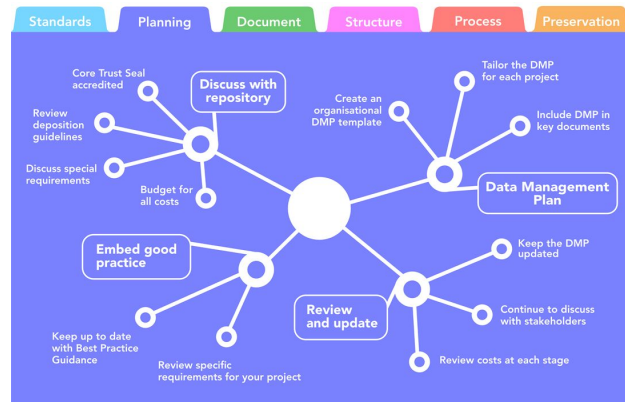
Download this page:



Dig Digital Health Check
1.05 MB

Dig Digital Webpage and Resources

<https://www.archaeologists.net/digdigital/>
Still areas to add but publicly available



Selection Toolkit

Welcome.

DMPonline has been developed by the **Digital Curation Centre** to help you write data management plans.

Screencast on how to use DMPonline



Sign in

[Forgot your password?](#)

☐ Remember me

Sign in

[Or, sign in with your institutional credentials](#) (UK users only)

Sign up

New to DMPonline? Sign up today.

General guidance
on data
management

DMP ONLINE



Archaeology
Data Service

Thank You

katie.green@york.ac.uk



Archaeology Data Service

Department of Archaeology

University of York

The King's Manor

Exhibition Square

York, YO1 7EP

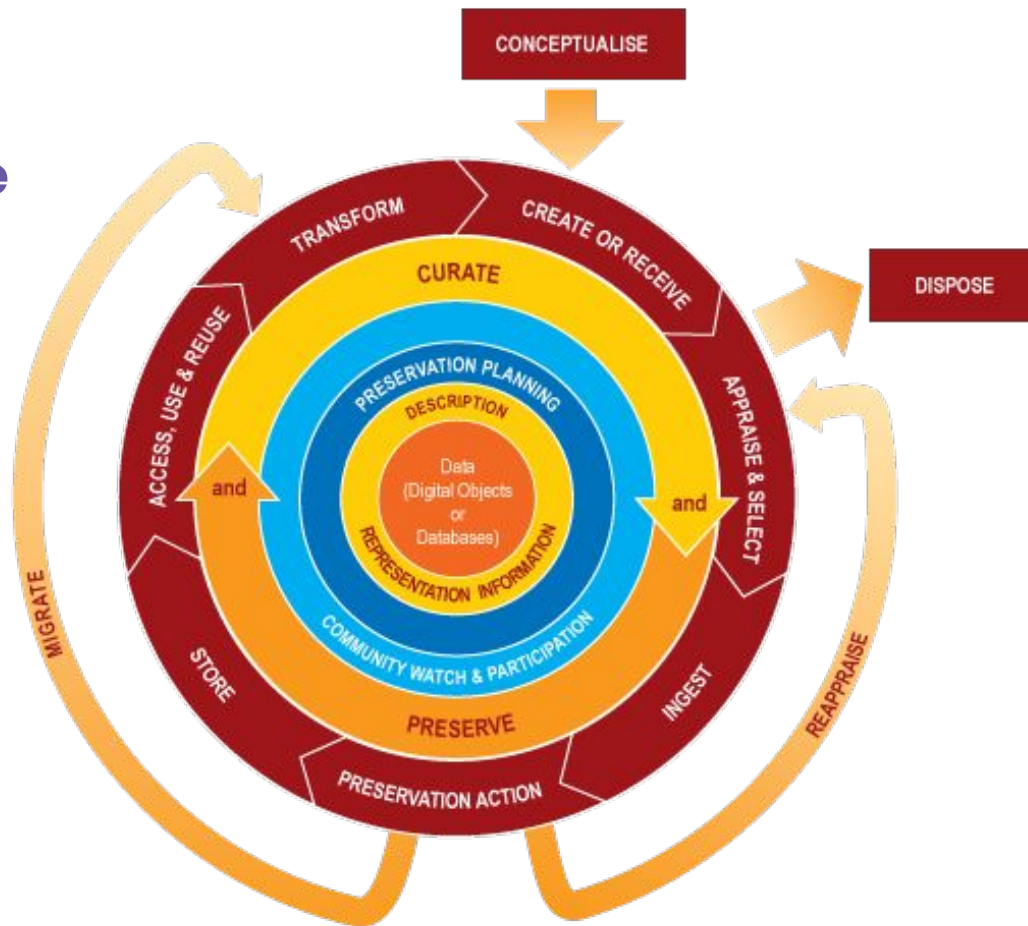


www.archaeologydataservice.ac.uk



help@archaeologydataservice.ac.uk

Data Lifecycle Model



Further Resources

- DPC
- Library of Congress

1

Context

For an archaeological project, the contextual information for a Data Management Plan should include:

- Key details such as **project** or **site names** and related **unique identifiers**;
- **Funding bodies** where relevant;
- The date the DMP was **created** and last **updated**;
- The **version** number for the DMP document;
- Reference to any related **data management policies**, **fieldwork manuals** or other relevant documentation.

2

Roles and Responsibilities

For an archaeological project, this area of your Data Management Plan should include:

- Information on who is responsible for implementing the DMP and ensuring it is reviewed and revised
- Information on who will be responsible for each data management activity
- How responsibilities will be split across partners in collaborative projects
- How data ownership and responsibility for data management be part of any consortium agreement or contract between partners

3

Data Description

For an archaeological project, the data description section of your DMP should include:

- What data you will collect or create including the data type, format and volume/size of your data
- A justification of your chosen formats and the software used
- Information on what existing data is being collated and how this data will be used.

4

**Standards
and
Methods**

For an archaeological project, this should detail the standards and methods used in the project, such as:

- How you will collect and create your data
- What instrumentation will be used and why
- What discipline specific data Standards or methodologies will be used and why
- How you will structure your data
- How you will manage version control of your data
- What quality assurance processes will be used and why
- What documentation and metadata will accompany the data and how will this be captured and created
- What metadata standards will be used and why

5

Ethics and IPR

For an archaeological project, this section of your DMP should include:

- The name(s) of the copyright holder(s) for your data
- Information on required data sharing agreements (or equivalent)
- Details of any ethical concerns and ethical review processes undertaken for your data/project
- How personal or sensitive data will be handled, stored and transferred securely
- How you will ensure your data is compliant with appropriate legislation (i.e. GDPR 2018, UK Human Remains Acts)

6

Sharing Access and Security

For an archaeological project, this should include how your data will be stored, accessed and backed up during your research, such as:

- Details of **appropriate storage provision** for your dataset
- Information on **security** and **backup** procedures
- How data will be **recovered** in the event of an incident
- If creating or collecting data in the field, how will you ensure **safe transfer** into your main secured systems
- How you will **control access** to keep the **data secure**
- How will you ensure **collaborators** can access your data securely

7

Preservation and Access

This part of your DMP should include how your archaeological data will be preserved and made available in the long-term, such as:

- What **selection strategy** will be applied to your data and what will happen to **de-selected data**
- How will the preserved data **relate** to any planned publications and other **dissemination** materials
- **Where** will the **data be deposited**, for **how long** will it be preserved, and **why** your selected repository was chosen
- **When** you will make the data available, and under what **rights** **licence**
- What are the **foreseeable research uses** for your data

What is an Archaeological Archive...



Image ©
Andrew
Fetherston

A significant proportion of archaeological research remains:

- Unpublished and/or inaccessible
- Difficult to find
- Inoperable
- Incomplete
- Inappropriately archived



Deposit Evaluation

- Intellectual content & potential interest in their re-use
- Viability of data management, preservation, and distribution
- Other suitable archives?
- Authority to deposit the data
- Material is 'complete'
- Digital form in preferred file format – consult repository websites
- Sufficient project documentation and file Metadata

Submission Information Package (SIP)

- **Virus check**
- Media and file **readability** check
- Data resource **integrity** check
- Check file **formats** suitable for depo
- Documentation **completeness** check
- Data **validation** and **consistency** check
- Web interface text check
- Copy to data server



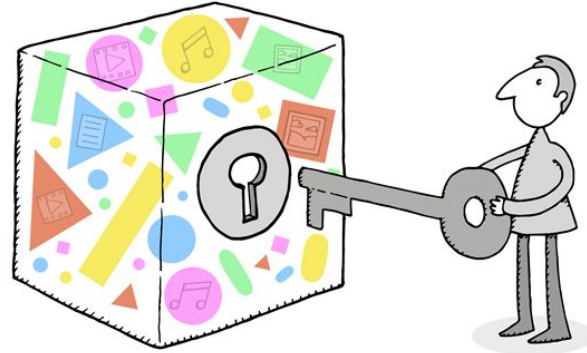
Submission Information Package (SIP)

- Authenticate original version
- Replace spaces with underscores
- Log details of SIP in **Collections Manager**
- Create **checksums**
- Run **Droid** to generate file level metadata
- Store **licence** in AIP directory
- Scan paper documentation
- Acknowledge receipt of data
- Store original media



Archival Information Package (AIP)

- Check **licence**
- Check copyright and confidentiality clearance
- **Consistency** checks
- Selecting **preservation** and **dissemination** file formats
- Develop a **conversion** plan
- **Convert** the files
- **Validate** file conversion
- **Metadata** update
- Create and store **checksums** for
- Submit AIP for checking

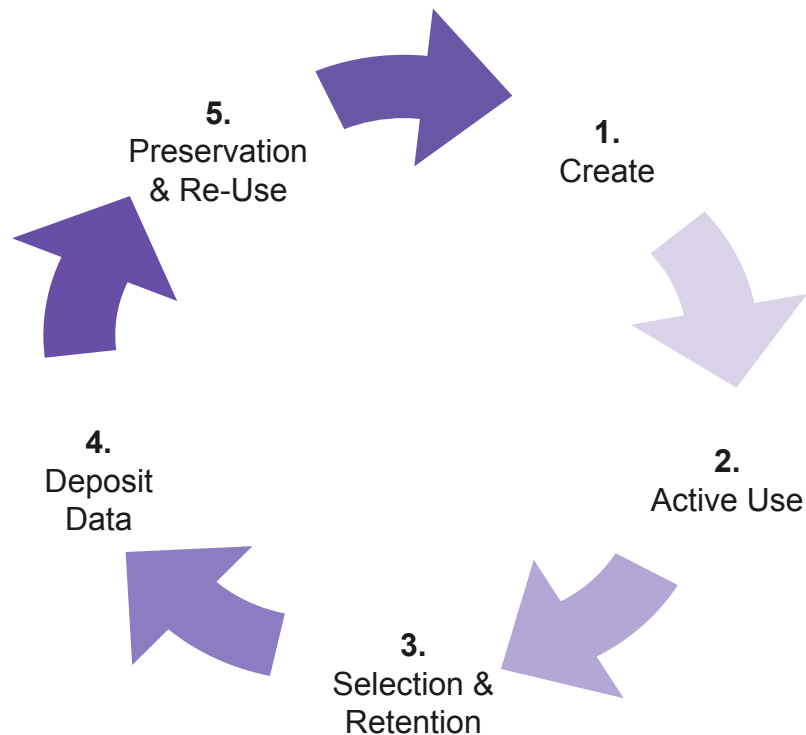


Dissemination Information Package (DIP)

- **Convert** the files
- **Validate** file conversion
- Create web **interface**
- Allocate permanent urls / **DOIs**
- Pre-Release interface
- Make any depositor changes
- Release Archive
- Publicise Archive



Data Cycles & Management Plans



- The use of computers in archaeological fieldwork recording and research has become routine.

