

# Clifton Hall Tower, Clifton, Near Penrith, Cumbria

## Tree-ring Analysis of Timbers

Alison Arnold and Robert Howard

## Discovery, Innovation and Science in the Historic Environment



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## CLIFTON HALL TOWER, CLIFTON, NEAR PENRITH, CUMBRIA

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#### SUMMARY

In 2015 a previously unsampled but potentially historically significant main beam associated with the second floor floor-frame of the Clifton Hall Tower was sampled and analysed in conjunction with 17 samples originally analysed in 2002/3 in order to ascertain whether any more of these previously obtained samples could now be dated. The new sample was successfully dated and proved to be coeval with the previously dated joist associated with the first floor floor-frame. Interpretation of sapwood on these two samples indicates that these timbers have an estimated felling date range of AD 1539–64. It was not possible to date any additional samples from those originally analysed. Thus the dating evidence for the remaining samples is the same with five timbers from the roof being felled in, or about, AD 1740, a second floor ceiling timber having an estimated felling date range of AD 1576–1601, and two other roof timbers that could be associated with either of the sixteenth century fellings identified or could represent separate fellings. Finally another second floor ceiling beam was unlikely to have been felled before AD 1484 and again could be associated with the sixteenth century fellings.

#### CONTRIBUTORS

Alison Arnold and Robert Howard

#### ACKNOWLEDGEMENTS

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#### **ARCHIVE LOCATION**

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### INTRODUCTION

Clifton Hall tower lies at the northern extremity of Clifton and is approximately 3.5km south east of Penrith along the medieval road to Kendal (Figs 1a–b). The tower is the only part of Clifton Hall, the manor house of the Wybergh family, which survived demolition in the early-nineteenth century. It is roughly 10m by 8m and of three storeys. The date of the tower's construction is uncertain but on architectural evidence it is usually considered to be a late-fifteenth century addition to the late-fourteenth century original hall.

The principal room of the tower, with its large fireplace, large window, and its gardrobe chamber, occupied the first floor. The original entry was at this level with a newel stair in the south-west corner giving access to the second floor and the roof. The ground floor was originally self-contained, entry being gained through a door in the north wall.

At some point later part of the south wall was rebuilt to allow for the insertion of a new ground-floor fireplace and its chimney. Other alterations to the tower were associated with the building of a new hall to the south involving the insertion of new doorways through the south wall of the tower, and the extension of the newel stair to the ground floor.

The original roof of the tower does not survive, with only the corbels which carried its tiebeams giving any clue as to its form. This first roof was replaced by a hipped roof with king posts supporting a ridge. On architectural grounds it is unlikely that such a roof would be earlier than the mid-sixteenth century. This present roof contains quantities of reused material, as evidenced by the redundant mortices, joint beds, and peg holes. There also appears to be quantities of twentieth century repair timbers.

Clifton Hall, including all the post-medieval extensions, was demolished in the nineteenth century when the present Hall Farm was built. The tower is an English Heritage guardianship site and a Scheduled Monument.

## SAMPLING

An earlier programme of sampling and analysis by tree-ring dating of timbers from this tower was commissioned by English Heritage in 2002 and reported on in 2003 (Arnold *et a*/2003a). The purpose of this was to provide a better understanding of the building to assist with its interpretation for visitors. At that time samples were obtained from the roof, and from the main cross-beams and joists of the first floor floor-frame and the second floor ceiling frame. It was hoped that sampling would show how much, if any, of the roof or floors survived from the original build, and possibly show at what date the original roof was replaced by the present hipped covering. Given the extensive reuse of material it was thought that some intimation of intermediate repair dates might also be evidenced.

This second episode of sampling was requested by Andrew Davison in respect of a major second floor floor-beam which required replacement. This timber was set aside at the tower so that a dendrochronological assessment could be made, this assessment concluding that it was indeed suitable for tree-ring analysis. Thus it was sampled by coring, being given the code CHT-A (for Clifton Tower, site 'A) and numbered '18' following on from the 17 samples obtained in the previous programme of analysis (Table 1). Where possible the sampled timbers are shown on drawings and a photograph provided by English Heritage, these given here as Figures 2a–e.

Sampling in 2002/3 was of a limited nature due to various timbers appearing to be unsuitable for analysis and also access being unsafe. It had therefore been agreed that, if the 2015 repair works allowed safer access, sampling of previously inaccessible timbers could be undertaken if appropriate. Unfortunately access to the timbers in question was still not possible, and thus they remain unsampled.

### ANALYSIS AND RESULTS

The newly acquired sample, CHT-A18, was prepared by sanding and polishing and its annual growth ring widths were measured. The data of these measurements, along with those of the 17 samples obtained in the earlier programme of analysis are given at the end of this report. The data of all 18 samples were compared with each other by the Litton/Zainodin grouping procedure (see Appendix), this comparative process producing, as before, two groups of cross-matching samples.

The first group is as before and comprises five samples, CHT-A02, CHT-A03, CHT-A06, CHT-A07, and CHT-A08 (Fig 3). These five samples form site chronology CHTASQ01, having an overall length of 86 rings, and dated as having a first ring date of AD 1655 and a last measured ring date of AD 1740. The updated evidence for this dating is given in Table 2.

The second group now comprises four samples, CHT-A09, CHT-A10, and CHT-A14, as before, but also CHT-A18 (Fig 3). The growth-ring widths of these four samples were combined at their indicated relative off-set positions to form a new site chronology CHTASQ02, with a combined overall length of 92 rings. Site chronology CHTASQ02 is dated as having a first ring date of AD 1446 and a last measured ring date of AD 1537. The evidence for this dating is given in Table 3.

Samples CHT-A04 and CHT-A11 had both previously been dated individually (Fig 3), the updated evidence for this dating is given in Tables 4 and 5.

Each site chronology and the two dated individuals were then compared with the seven remaining samples. There was, however, no further satisfactory cross-matching and despite comparing these seven ungrouped and previously undated samples with a full range of relevant reference chronologies for oak, including those reference chronologies not available when the original analysis was undertaken, these seven samples remain undated.

Site chronology	Number of samples	Number of rings	Date span AD
			(where dated)
CHTASQ01	5	86	1655–1740
CHTASQ02	4	92	1446–1537
CHT-A04		122	1440–1561
CHT-AII		62	408- 469
Ungrouped	7		undated

This analysis may be summarised thus:

### INTERPRETATION

The 2002/3 analysis of roof and floor timbers of Clifton Hall tower successfully dated ten samples, whilst this analysis has successfully dated the additional second floor floor-beam, leaving seven samples still ungrouped and undated.

### Site chronology CHTASQ01

The latest, or most recent, material remains the five samples of site chronology CHTASQ01 that represent roof timbers. One of the samples in this group, CHT-A08, retains complete sapwood, this meaning that it has the last ring produced by the tree it represents before it was felled. This last complete sapwood ring, and thus the felling of the tree, is dated AD 1740.

The amount of sapwood, and the relative position of the heartwood/sapwood boundary, on the other four samples in site chronology CHTASQ01 is such that it is very likely that the timbers they represent were felled in, or about, AD 1740 as well. As may be seen from Table 1 and Figure 3, the overall position of this boundary varies by only 10 years, from relative position 60 (AD 1714) on sample CHT-A02 to relative position 70 (AD 1724) on sample CHT-A08, such similarity being indicative of timbers cut as part of a single episode of felling.

#### Site chronology CHTASQ02

The two floor timbers, represented by samples CHT-A14 and CHT-A18, and dated as part of site chronology CHTASQ02, are earlier than the roof timbers above. Both samples retain the heartwood-sapwood boundary, this meaning that although they have lost all their sapwood rings, it is only the sapwood rings that have been lost. This heartwood-sapwood boundary is dated to AD 1528 on sample CHT-A14 and AD 1520 on sample CHT-A18, and they appear likely to be coeval. The average

heartwood/sapwood boundary date of the two samples is AD 1524 and, using a 95% confidence interval of 15–40 for the number of sapwood rings the trees are likely to have had, gives the timbers an estimated felling date in the range AD 1539–64.

The other two samples, CHT-A09 and CHT-A10, dated as part of site chronology CHTASQ02 are without the heartwood/sapwood boundary. This means that not only have they lost all their sapwood rings, but an unknown number of heartwood rings as well. In such a situation it is not possible to provide a felling date range for either timber but, with last heartwood ring dates of AD 1514 and AD 1537, felling is likely to have been after AD 1529 and AD1552, respectively.

#### Individually dated sample CHT-A04

Sample CHT-A04, representing a second floor timber at ceiling level, has been dated individually with a last measured ring date of AD 1561. Given that this last ring is at the heartwood/sapwood boundary, this would give the timber an estimated felling date of AD 1576–1601.

### Individually dated sample CHT-AII

The earliest timber, a second floor ceiling timber, detected in this analysis is represented by sample CHT-AII. This sample has a last ring date of AD 1469, but is without the heartwood/sapwood boundary meaning that its felling date again cannot be reliably determined. However, allowing for the usual minimum number of sapwood rings, it is likely to have been felled after AD 1484.

## CONCLUSION

The analysis by dendrochronology clearly demonstrates the presence of timbers with different felling dates within the tower (Fig 3), a not unexpected finding given the structural evidence for reuse, insertion, and modification.

It is clear that a number of roof timbers were felled in, or about, AD 1740, this probably representing the date at which the present hipped roof replaced the original roof. The two dated purlins from the roof (CHT-A09 and CHT-A10) could be associated with either the mid-sixteenth century felling identified or the later-sixteenth century felling identified, or could represent different fellings in sixteenth century or even potentially the seventeenth century, if they represent the inner part of heavily trimmed trees.

The second floor ceiling level contains one timber (CHT-A04) estimated as being felled AD 1576–1601 and one timber (CHT-A11) being felled after AD 1484. This latter timber could therefore be associated with the either of the sixteenth century fellings identified or alternatively could represent a separate, potentially earlier, felling. The floor frames also

contain some sixteenth century timbers, represented by samples CHT-A14 and CHT-A18, which, having an estimated felling date of AD 1539–64, are the earliest of the positively identified fellings.

Although compared with reference data for all parts of England, there is a clear tendency for the earlier timbers from Clifton Hall tower to have the highest levels of similarity with chronologies made up of data from other sites in northern England. This suggests that the trees used for these timbers are likely to be from a relatively local woodland source. The later timbers on the other hand tend to cross-match best with material from the Midlands. This, however, may well be a reflection of the more limited chronological coverage available for the later-seventeenth and eighteenth centuries rather than a genuine indication that the later timber has been sourced from further afield.

Of the 18 samples obtained, seven still remain ungrouped and undated. None of these seven samples shows any problems with its annual growth rings, such as distortion or compression, which would make cross-matching and dating difficult, and all have sufficient numbers of rings for reliable analysis. It is possible, given the evidence for reuse and insertion, that these timbers are of different dates and/or woodland sources, this in effect making them 'singletons'. While, as seen here, single samples can be dated, this is often more difficult than with well replicated groups. It is in any case, not unusual in any programme of tree-ring analysis to find that some samples remain undated, often for no apparent reason.

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## TABLES

#### Table 1: Details of tree-ring samples from Clifton Hall tower, Clifton, near Penrith, Cumbria

Sample	Sample location	Total	Sapwood	First measured	Last heartwood	Last measured
number		rings	rings*	ring date AD	ring date AD	ring date AD
	Roof and second floor ceiling timbers					
CHT-A01	North principal rafter, truss I	79	23C			
CHT-A02	North-east hip rafter	57	9	1667	1714	1723
CHT-A03	Tiebeam, truss I	72		1659	1719	1730
CHT-A04	Southern east to west beam, truss I - 2	122	h/s	1440	1561	1561
CHT-A05	South-east diagonal ceiling beam	57	13			
CHT-A06	North principal rafter, truss 2	70	17	1666	1718	1735
CHT-A07	South principal rafter, truss 2	68		1662	1718	1729
CHT-A08	Tiebeam, truss 2	86	16C	1655	1724	1740
CHT-A09	South purlin, truss I - 2	61	no h/s	1454		1514
CHT-AI0	Purlin to west hip	80	no h/s	1458		1537
CHT-AII	West ceiling beam	62	no h/s	1408		1469
CHT-AI2	North-west diagonal ceiling beam	55	15			1676??
CHT-AI3	Common rafter to north roof pitch	56	h/s			
	Floor-frame timbers					
CHT-AI4	First floor floor-frame, joist 3 (from east)	71	h/s	1458	1528	1528
CHT-AI5	First floor floor-frame, joist 4	65	h/s			
CHT-AI6	First floor floor-frame, joist 6	57	4			
CHT-AI7	First floor floor-frame, cross beam	58	h/s			
CHT-AI8	Second floor floor-frame, cross beam	89	4	1446	1520	1534

h/s = the heartwood/sapwood ring is the last ring on the sample C = complete sapwood is retained on the sample, the last measured ring date is the felling date of the tree

Table 2: Results of the cross-matching of site sequence CHTASQ01	and relevant reference chronologies when th	e first-ring date is AD	1655 and the
last-ring date is AD 1740			

Reference chronology	Span of chronology	<i>t</i> -value	Reference
The Dovecote, Shenton Hall, Leicestershire	AD 1606-1719	7.8	( Arnold <i>et al</i> 2008 )
Blidworth, Nottinghamshire	AD 1625-1717	7.2	( Laxton <i>et al</i> 1982 )
Lathom House, Lancashire	AD 1633-1726	7.1	(Nayling 2000)
Sarehole Mill, Hall Green, Birmingham	AD 1677–1767	7.0	(Howard 1988 unpubl)
St John The Baptist, Knossington, Leicestershire	AD 1662-1721	6.6	( Arnold <i>et al</i> 2005 )
Catholme, Staffordshire	AD 1649-1750	6.6	(Howard <i>et al</i> 1992 unpubl)
Bretby Hall, Bretby, Derbyshire	AD 1494-1719	6.4	(Howard <i>et a</i> /1999)
Hovingham Hall, North Yorkshire	AD 1643-1773	6.0	( Tyers 2002 )

Table 3: Results of the cross-matching of site sequence CHTASQ02 and relevant reference chronologies when the first-ring date is AD 1446 and the last-ring date is AD 1537

Reference chronology	Span of chronology	<i>t</i> -value	Reference
Welcome Square, Scotby, Cumbria	AD 1460-1564	8.7	(Howard <i>et al</i> 1997)
Arches Cottages, Sawley, Lancashire	AD 1433-1506	7.8	( Tyers 2000 )
Dandra Garth, Garsdale, Cumbria	AD 1373–1635	6.4	(Arnold and Howard 2014)
Cartledge Hall, Holmesfield, Derbyshire	AD 1459-1581	6.2	(Howard <i>et al</i> 1993)
Moorhouse Farm, Moorhouse, Cumbria	AD 1469-1608	6.0	(Howard <i>et al</i> 1998)
Gatehouse, Blanchland Abbey, Northumberland	AD 1326-1532	5.9	( Arnold <i>et al</i> 2009 )
Cruck Barn, Baldwinholme Farm, Cumbria	AD 1431-1568	5.6	(Howard <i>et al</i> 1998)
Hoyles Farm, Bradfield, Derbyshire	AD 1448-1552	5.6	(Howard <i>et al</i> 1993)

## Table 4: Results of the cross-matching of sample CHT-A04 and relevant reference chronologies when the first-ring date is AD 1440 and the last-ring date is AD 1561

Reference chronology	Span of chronology	<i>t-</i> value	Reference
Aydon Castle, Corbridge, Northumberland	AD 1424-1543	6.5	(Hillam and Groves 1991)
Dilston Castle, Corbridge, Northumberland	AD 1402-1611	5.7	( Arnold <i>et al</i> 2003b )
Moot Hall, Hexham, Northumberland	AD 1341-1539	5.7	( Arnold <i>et al</i> 2004a )
Gatehouse, Blanchland Abbey, Northumberland	AD 1326-1532	5.5	( Arnold <i>et al</i> 2009 )
Low Harperley Farmhouse, Wolsingham, County Durham	AD 1356-1604	5.4	( Arnold <i>et al</i> 2006 )
The Timber Loft, The College, Durham	AD 1402-1541	5.3	( Arnold <i>et al</i> 2004b )
Howley Hall, Morley, West Yorkshire	AD 1415-1632	5.2	(Arnold and Howard 2013 unpubl)
Auckland Castle, Bishop Auckland, County Durham	AD 1425-1698	5.2	(Arnold and Howard 2013)

Table 5: Results of the cross-matching of sample CHT-AII and relevant reference chronologies when the first-ring date is AD 1408 and the last-ring date is AD 1469

Reference chronology	Span of chronology	<i>t-</i> value	Reference
Dacre Hall, Lanercost Priory, Brampton, Cumbria	AD 1350-1504	8.1	( Arnold <i>et al</i> 2004c )
Bearpark Hall Cottages, Bearpark, Durham	AD 1355-1490	7.9	( Howard <i>et a</i> /2002a )
Low Harperley Farmhouse, Wolsingham, County Durham	AD 1356-1604	7.3	(Arnold <i>et al</i> 2006)
The Rigging Loft, Trinity House, Newcastle, Tyne and Wear	AD 1397-1524	7.0	( Howard <i>et a</i> /2002b )
Ughill Manor, Bradfield, South Yorkshire	AD 1349-1504	6.8	(Howard <i>et al</i> 1994)
I–3 North Gate, Newark, Nottinghamshire	AD 1339–1523	6.7	(Arnold and Howard 2009 unpubl)
Unthank Hall, Stanhope, County Durham	AD 1386-1592	6.2	(Howard <i>et a</i> /2001a)
Hallgarth Manor Cottages, Pittington, County Durham	AD 1336-1624	6.1	(Howard <i>et a</i> /2001b)

### FIGURES



Figure 1 a: Map to show the location of Clifton. © Crown Copyright and database right 2015. All rights reserved. Ordnance Survey Licence number 100024900



Figure 1b: Map to show the location of Clifton Hall tower. © Crown Copyright and database right 2015. All rights reserved. Ordnance Survey Licence number 100024900



Figure 2a: Plan at roof level to help locate sampled timbers (after Department of the Environment)



Figure 2b: Plan at second floor ceiling level to help locate sampled timbers (after Department of the Environment)



Figure 2c: Plan at first-floor floor-frame level to help locate sampled timbers (after Department of the Environment)



Figure 2d: Plan showing the second floor floor beam replaced in 2015 (Derrick Hodgson, English Heritage 2014)



Figure 2e: Photograph of the second floor floor beam replaced in 2015 (Derrick Hodgson, English Heritage 2014)



white bars = heartwood rings, shaded bars = sapwood rings; h/s = heartwood/sapwood boundary; C = complete sapwood on sample

Figure 3: Bar diagram of the dated samples, sorted by felling date, either actual or estimated

#### DATA OF MEASURED SAMPLES

Measurements in 0.01mm units

276 292 244 222 240 276 237 199 264 256 211 194 197 181 121 150 151 205 189 209

#### CHT-A16B 57

230 286 163 164 105 89 78 131 211 264 280 273 229 200 181 138 181 161 150 140 161 149 183 203 219 235 231 246 276 266 165 233 257 254 214 213 186 219 232 214 187 191 173 121 120 119 87 102 89 142 116 89 100 104 111 128 140 CHT-A17A 58 194 280 334 243 256 341 347 322 201 274 213 185 147 182 308 305 217 315 235 186 |6| |62 | 17 | 17 | 12 | 70 | 82 | 58 | 59 | 62 | 67 | 14 | 05 | 28 | 32 | 76 | 47 | 57 | 77 | 62 156 202 132 141 158 175 168 117 117 76 62 54 74 68 74 39 41 58 CHT-A17B 58 193 270 322 254 270 322 311 324 218 278 201 185 145 184 309 300 219 313 202 196 178 141 131 104 117 143 181 152 156 182 160 115 113 113 134 184 157 144 165 149 154 198 137 142 162 176 156 115 124 68 59 61 70 78 66 44 48 56 CHT-A18A 89 90 352 459 414 317 372 425 543 564 417 533 400 446 575 621 432 544 482 376 342 364 239 217 253 258 191 95 123 215 190 202 203 203 399 195 134 52 110 175 267 174 244 165 160 175 113 54 62 79 195 222 173 123 149 121 68 56 84 129 104 101 81 73 82 70 86 49 67 79 87 74 62 88 117 106 73 96 83 125 123 108 65 125 90 84 181 154 203 255 CHT-A18B 89

93 361 431 448 318 362 430 544 584 417 533 418 452 586 642 445 551 473 362 340 359 256 226 254 248 220 103 143 225 173 219 205 207 407 193 128 64 108 175 260 171 244 182 148 168 115 68 59 87 192 216 165 132 145 113 75 50 85 125 105 99 91 65 84 77 84 46 68 72 97 65 63 87 112 111 78 90 84 124 199 105 60 122 90 87 168 158 205 249

### APPENDIX: TREE-RING DATING

#### The Principles of Tree-Ring Dating

Tree-ring dating, or dendrochronology as it is known, is discussed in some detail in the Laboratory's Monograph, An East Midlands Master Tree-Ring Chronology and its uses for dating Vernacular Building (Laxton and Litton 1988) and Dendrochronology: Guidelines on Producing and Interpreting Dendrochronological Dates (English Heritage 1988). Here we will give the bare outlines. Each year an oak tree grows an extra ring on the outside of its trunk and all its branches just inside its bark. The width of this annual ring depends largely on the weather during the growing season, about April to October, and possibly also on the weather during the previous year. Good growing seasons give rise to relatively wide rings, poor ones to very narrow rings and average ones to relatively average ring widths. Since the climate is so variable from year to year, almost random-like, the widths of these rings will also appear random-like in sequence, reflecting the seasons. This is illustrated in Figure A1 where, for example, the widest rings appear at irregular intervals. This is the key to dating by tree rings, or rather, by their widths. Records of the average ring widths for oaks, one for each year for the last 1000 years or more, are available for different areas. These are called master chronologies. Because of the random-like nature of these sequences of widths, there is usually only one position at which a sequence of ring widths from a sample of oak timber with at least 70 rings will match a master. This will date the timber and, in particular, the last ring.

If the bark is still on the sample, as in Figure A1, then the date of the last ring will be the date of felling of the oak from which it was cut. There is much evidence that in medieval times oaks cut down for building purposes were used almost immediately, usually within the year or so (Rackham 1976). Hence if bark is present on several main timbers in a building, none of which appear reused or are later insertions, and if they all have the same date for their last ring, then we can be quite confident that this is the date of construction or soon after. If there is no bark on the sample, then we have to make an estimate of the felling date; how this is done is explained below.

# The Practice of Tree-Ring Dating at the Nottingham Tree-Ring Dating Laboratory

1. Inspecting the Building and Sampling the Timbers. Together with a building historian the timbers in a building are inspected to try to ensure that those sampled are not reused or later insertions. Sampling is almost always done by coring into the timber, which has the great advantage that we can sample *in situ* timbers and those judged best to give the date of construction, or phase of construction if there is more than one in the building. The timbers to be sampled are also inspected to see how many rings they have. We normally look for timbers with at least 70 rings, and preferably more. With fewer rings than this, 50 for example, sequences of widths become difficult to match to a unique

position within a master sequence of ring widths and so are difficult to date (Litton and Zainodin 1991). The cross-section of the rafter shown in Figure A2 has about 120 rings; about 20 of which are sapwood rings — the lighter rings on the outside. Similarly the core has just over 100 rings with a few sapwood rings.

To ensure that we are getting the date of the building as a whole, or the whole of a phase of construction if there is more than one, about 8–10 samples per phase are usually taken. Sometimes we take many more, especially if the construction is complicated. One reason for taking so many samples is that, in general, some will fail to give a date. There may be many reasons why a particular sequence of ring widths from a sample of timber fails to give a date even though others from the same building do. For example, a particular tree may have grown in an odd ecological niche, so odd indeed that the widths of its rings were determined by factors other than the local climate! In such circumstances it will be impossible to date a timber from this tree using the master sequence whose widths, we can assume, were predominantly determined by the local climate at the time.

Sampling is done by coring into the timber with a hollow corer attached to an electric drill and usually from its outer rings inwards towards where the centre of the tree, the pith, is judged to be. An illustration of a core is shown in Figure A2; it is about 150mm long and 10mm diameter. Great care has to be taken to ensure that as few as possible of the outer rings are lost in coring. This can be difficult as these outer rings are often very soft (see below on sapwood). Each sample is given a code which identifies uniquely which timber it comes from, which building it is from and where the building is located. For example, CRO-A06 is the sixth core taken from the first building (A) sampled by the Laboratory in Cropwell Bishop. Where it came from in that building will be shown in the sampling records and drawings. No structural damage is done to any timbers by coring, nor does it weaken them.

During the initial inspection of the building and its timbers the dendrochronologist may come to the conclusion that, as far as can be judged, none of the timbers have sufficient rings in them for dating purposes and may advise against sampling to save further unwarranted expense.

All sampling by the Laboratory is undertaken according to current Health and Safety Standards. The Laboratory's dendrochronologists are insured.



Figure AI: A wedge of oak from a tree felled in 1976. It shows the annual growth rings, one for each year from the innermost ring to the last ring on the outside just inside the bark. The year of each ring can be determined by counting back from the outside ring, which grew in 1976



Figure A2: Cross-section of a rafter, showing sapwood rings in the left-hand corner, the arrow points to the heartwood/sapwood boundary (H/S); and a core with sapwood; again the arrow is pointing to the H/S. The core is about the size of a pencil



Figure A3: Measuring ring widths under a microscope. The microscope is fixed while the sample is on a moving platform. The total sequence of widths is measured twice to ensure that an error has not been made. This type of apparatus is needed to process a large number of samples on a regular basis



Figure A4: Three cores from timbers in a building. They come from trees growing at the same time. Notice that, although the sequences of widths look similar, they are not identical. This is typical 2. Measuring Ring Widths. Each core is sanded down with a belt sander using medium-grit paper and then finished by hand with flourgrade-grit paper. The rings are then clearly visible and differentiated from each other with a result very much like that shown in Figure A2. The core is then mounted on a movable table below a microscope and the ring-widths measured individually from the innermost ring to the outermost. The widths are automatically recorded in a computer file as they are measured (see Fig A3).

3. Cross-Matching and Dating the Samples. Because of the factors besides the local climate which may determine the annual widths of a tree's rings, no two sequences of ring widths from different oaks growing at the same time are exactly alike (Fig A4). Indeed, the sequences may not be exactly alike even when the trees are growing near to each other. Consequently, in the Laboratory we do not attempt to match two sequences of ring widths by eye, or graphically, or by any other subjective method. Instead, it is done objectively (ie statistically) on a computer by a process called cross-matching. The output from the computer tells us the extent of correlation between two sample sequences of widths or, if we are dating, between a sample sequence of widths and the master, at each relative position of one to the other (offsets). The extent of the correlation at an offset is determined by the *t*-value (defined in almost any introductory book on statistics). That offset with the maximum *t*-value among the *t*-values at all the offsets will be the best candidate for dating one sequence relative to the other. If one of these is a master chronology, then this will date the other. Experiments carried out in the past with sequences from oaks of known date suggest that a *t*-value of at least 4.5, and preferably at least 5.0, is usually adequate for the dating to be accepted with reasonable confidence (Laxton and Litton 1988; Laxton et a/ 1988; Howard et a/ 1984–1995).

This is illustrated in Figure A5 with timbers from one of the roofs of Lincoln Cathedral. Here four sequences of ring widths, LIN-C04, 05, 08, and 45, have been cross-matched with each other. The ring widths themselves have been omitted in the bar diagram, as is usual, but the offsets at which they best cross-match each other are shown; eg the sequence of ring widths of C08 matches the sequence of ring widths of C45 best when it is at a position starting 20 rings after the first ring of C45, and similarly for the others. The actual *t*-values between the four at these offsets of best correlations are in the matrix. Thus at the offset of +20 rings, the *t*-value between C45 and C08 is 5.6 and is the maximum found between these two among all the positions of one sequence relative to the other.

It is standard practice in our Laboratory first to cross-match as many as possible of the ring-width sequences of the samples in a building and then to form an average from them. This average is called a site sequence of the building being dated and is illustrated in Figure A5. The fifth bar at the bottom is a site sequence for a roof at Lincoln Cathedral and is constructed from the matching sequences of the four timbers. The site sequence width for each year is the average of the widths in each of the sample sequences which has a width for that year. Thus in Figure A5 if the widths shown are 0.8mm for C45, 0.2mm for C08, 0.7mm for C05, and 0.3mm for C04, then the corresponding width of the site

sequence is the average of these, 0.55mm. The actual sequence of widths of this site sequence is stored on the computer. The reason for creating site sequences is that it is usually easier to date an average sequence of ring widths with a master sequence than it is to date the individual component sample sequences separately.

The straightforward method of cross-matching several sample sequences with each other one at a time is called the 'maximal *t*-value' method. The actual method of cross-matching a group of sequences of ring-widths used in the Laboratory involves grouping and averaging the ring-width sequences and is called the 'Litton-Zainodin Grouping Procedure'. It is a modification of the straightforward method and was successfully developed and tested in the Laboratory and has been published (Litton and Zainodin 1991; Laxton *et al* 1988).

4. Estimating the Felling Date. As mentioned above, if the bark is present on a sample, then the date of its last ring is the date of the felling of its tree (or the last full year before felling, if it was felled in the first three months of the following calendar year, before any new growth had started, but this is not too important a consideration in most cases). The actual bark may not be present on a timber in a building, though the dendrochronologist who is sampling can often see from its surface that only the bark is missing. In these cases the date of the last ring is still the date of felling.

Quite often some, though not all, of the original outer rings are missing on a timber. The outer rings on an oak, called sapwood rings, are usually lighter than the inner rings, the heartwood, and so are relatively easy to identify. For example, sapwood can be seen in the corner of the rafter and at the outer end of the core in Figure A2, both indicated by arrows. More importantly for dendrochronology, the sapwood is relatively soft and so liable to insect attack and wear and tear. The builder, therefore, may remove some of the sapwood for precisely these reasons. Nevertheless, if at least some of the sapwood rings are left on a sample, we will know that not too many rings have been lost since felling so that the date of the last ring on the sample is only a few years before the date of the original last ring on the tree, and so to the date of felling.

Various estimates have been made and used for the average number of sapwood rings in mature oak trees (English Heritage 1998). A fairly conservative range is between 15 and 50 and that this holds for 95% of mature oaks. This means, of course, that in a small number of cases there could be fewer than 15 and more than 50 sapwood rings. For example, the core CRO-A06 has only 9 sapwood rings and some have obviously been lost over time — either they were removed originally by the carpenter and/or they rotted away in the building and/or they were lost in the coring. It is not known exactly how many sapwood rings are missing, but using the above range the Laboratory would estimate between a minimum of 6 (=15-9) and a maximum of 41 (=50-9). If the last ring of CRO-A06 has been dated to 1500, say, then the estimated felling-date range for the tree from which it came originally would be between 1506 and 1541. The Laboratory uses this estimate for sapwood in areas of England where it has no prior information. It also uses it

when dealing with samples with very many rings, about 120 to the last heartwood ring. But in other areas of England where the Laboratory has accumulated a number of samples with complete sapwood, that is, no sapwood lost since felling, other estimates in place of the conservative range of 15 to 50 are used. In the East Midlands (Laxton *et al* 2001) and the east to the south down to Kent (Pearson 1995) where it has sampled extensively in the past, the Laboratory uses the shorter estimate of 15 to 35 sapwood rings in 95% of mature oaks growing in these parts. Since the sample CRO-A06 comes from a house in Cropwell Bishop in the East Midlands, a better estimate of sapwood rings lost since felling is between a minimum of 6 (=15–9) and 26 (=35–9) and the felling would be estimated to have taken place between 1506 and 1526, a shorter period than before. Oak boards quite often come from the Baltic region and in these cases the 95% confidence limits for sapwood are 9 to 36 (Howard *et al* 1992, 56).

Even more precise estimates of the felling date and range can often be obtained using knowledge of a particular case and information gathered at the time of sampling. For example, at the time of sampling the dendrochronologist may have noted that the timber from which the core of Figure A2 was taken still had complete sapwood but that some of the soft sapwood rings were lost in coring. By measuring into the timber the depth of sapwood lost, say 20mm, a reasonable estimate can be made of the number of sapwood rings lost, say 12 to 15 rings in this case. By adding on 12 to 15 years to the date of the last ring on the sample a good tight estimate for the range of the felling date can be obtained, which is often better than the 15 to 35 years later we would have estimated without this observation. In the example, the felling is now estimated to have taken place between AD 1512 and 1515, which is much more precise than without this extra information.

Even if all the sapwood rings are missing on a sample, but none of the heartwood rings are, then an estimate of the felling-date range is possible by adding on the full compliment of, say, 15 to 35 years to the date of the last heartwood ring (called the heartwood/ sapwood boundary or transition ring and denoted H/S). Fortunately it is often easy for a trained dendrochronologist to identify this boundary on a timber. If a timber does not have its heartwood/sapwood boundary, then only a *post quem* date for felling is possible.

**5. Estimating the Date of Construction.** There is a considerable body of evidence collected by dendrochronologists over the years that oak timbers used in buildings were not seasoned in medieval or early modern times (English Heritage 1998; Miles 1997, 50–5). Hence, provided that all the samples in a building have estimated felling-date ranges broadly in agreement with each other, so that they appear to have been felled as a group, then this should give an accurate estimate of the period when the structure was built, or soon after (Laxton *et al* 2001, Fig 8; 34–5, where 'associated groups of fellings' are discussed in detail). However, if there is any evidence of storage before use, or if there is evidence the oak came from abroad (eg Baltic boards), then some allowance has to be made for this.

Master Chronological Sequences. Ultimately, to date a sequence of ring widths, or 6. a site sequence, we need a master sequence of dated ring widths with which to crossmatch it, a Master Chronology. To construct such a sequence we have to start with a sequence of widths whose dates are known and this means beginning with a sequence from an oak tree whose date of felling is known. In Figure A6 such a sequence is SHE-T, which came from a tree in Sherwood Forest which was blown down in a recent gale. After this other sequences which cross-match with it are added and gradually the sequence is 'pushed back in time' as far as the age of samples will allow. This process is illustrated in Figure A6. We have a master chronological sequence of widths for Nottinghamshire and East Midlands oak for each year from AD 882 to 1981. It is described in great detail in Laxton and Litton (1988), but the components it contains are shown here in the form of a bar diagram. As can be seen, it is well replicated in that for each year in this period there are several sample sequences having widths for that year. The master is the average of these. This master can now be used to date oak from this area and from the surrounding areas where the climate is very similar to that in the East Midlands. The Laboratory has also constructed a master for Kent (Laxton and Litton 1989). The method the Laboratory uses to construct a master sequence, such as the East Midlands and Kent, is completely objective and uses the Litton-Zainodin grouping procedure (Laxton et al 1988). Other laboratories and individuals have constructed masters for other areas and have made them available. As well as these masters, local (dated) site chronologies can be used to date other buildings from nearby. The Laboratory has hundreds of these site sequences from many parts of England and Wales covering many short periods.

7. **Ring-Width Indices.** Tree-ring dating can be done by cross-matching the ring widths themselves, as described above. However, it is advantageous to modify the widths first. Because different trees grow at different rates and because a young oak grows in a different way from an older oak, irrespective of the climate, the widths are first standardized before any matching between them is attempted. These standard widths are known as ring-width indices and were first used in dendrochronology by Baillie and Pilcher (1973). The exact form they take is explained in this paper and in the appendix of Laxton and Litton (1988) and is illustrated in the graphs in Figure A7. Here ring-widths are plotted vertically, one for each year of growth. In the upper sequence of (a), the generally large early growth after 1810 is very apparent as is the smaller later growth from about 1900 onwards when the tree is maturing. A similar phenomenon can be observed in the lower sequence of (a) starting in 1835. In both the widths are also changing rapidly from year to year. The peaks are the wide rings and the troughs are the narrow rings corresponding to good and poor growing seasons, respectively. The two corresponding sequence of Baillie-Pilcher indices are plotted in (b) where the differences in the immature and mature growths have been removed and only the rapidly changing peaks and troughs remain, that are associated with the common climatic signal. This makes cross-matching easier.

*t*-value/offset Matrix



# Figure A5: Cross-matching of four sequences from a Lincoln Cathedral roof and the formation of a site sequence from them

The bar diagram represents these sequences without the rings themselves. The length of the bar is proportional to the number of rings in the sequence. Here the four sequences are set at relative positions (offsets) to each other at which they have maximum correlation as measured by the *t*-values. The *t*-value/offset matrix contains the maximum *t*-values below the diagonal and the offsets above it. Thus, the maximum *t*-value between C08 and C45 occurs at the offset of +20 rings and the *t*-value is then 5.6. The site sequence is composed of the average of the corresponding widths, as illustrated with one width.









## Figure A7 (a): The raw ring-widths of two samples, THO-A01 and THO-B05, whose felling dates are known

Here the ring widths are plotted vertically, one for each year, so that peaks represent wide rings and troughs narrow ones. Notice the growth-trends in each; on average the earlier rings of the young tree are wider than the later ones of the older tree in both sequences.

#### Figure A7 (b): The Baillie-Pilcher indices of the above widths

The growth trends have been removed completely.

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